# Pannual Report

and utaris



### VISION

TNI envisions a world of peace, equity and democracy on a sustainable planet brought about and sustained by an informed and engaged people.

# **MESSAGE FROM THE DIRECTOR**

Dubbed the 'year of protest', 2019 saw millions of people take to the streets on every continent.

There was plenty to protest. Deepening inequality, rising cost of living, autocratic governments, discrimination, and the concentration of wealth and power into fewer and fewer hands. Many protests were met with brutal repression, but this did not quell the fight for dignity and freedom.

TNI stood alongside and in support of these movements, as we have consistently done for all 46 years of our existence. We have provided research and logistical support and put forward proposals that can bring about social and ecological transformation.

One front of that struggle is at a global level, challenging the architecture that locks in the policies and practices against which people are revolting. 2019 saw some tangible progress as negotiations finally got underway for the Treaty on Transnational Corporations and Other Business Entities at the UN's Human Rights Council. If successful, this would for the first time corporations would be held legally accountable at international level for human rights abuses. Meanwhile, the Investor-State Dispute Settlement system, a key mechanism corporations use to avoid regulation, also faced increasing challenges to its legitimacy. In part as a result of public pressure, delegates from 100 countries began meeting to discuss reforms, although more mobilization will be needed to ensure they are not just cosmetic. These campaigns go to the core of the global power of corporations, and are driven by a powerful and intersectional movement demanding these shadow sovereigns, as TNI's President Susan George characterized them, be dethroned. TNI has been at the heart of this movement from its inception.

TNI is also engaged in many struggles that are part of everyday life. This includes supporting movements for universal public services. Our detailed mapping and research of innovative public alternatives – that thrive despite immense pressure to privatize - has shown that universal public service provision is not only feasible and viable but imperative for the healthy functioning of societies and economies.

And further, especially given the COVD-19 context, there is a groundswell of popular support to win back public services. In a heartwarming letter of thanks following the international *The Future is Public* conference co-hosted by TNI in Amsterdam in December, one delegate expressed gratitude for TNI's work in providing an, *"international space that allows movements and organizations of different kinds to think together ... to create a transversal network... (which requires) an environment of trust and care... and that is what we all could feel in the atmosphere of all the meetings and workshops"*. TNI may be best known by many for its knowledge production but within social movements, it is also this caring, facilitative capacity to nurture transversal networks for which we are appreciated.

In June, we took the opportunity to assess the political climate at an international strategy seminar amidst an unfolding second wave of uprisings in North Africa, extended protests in Chile, the sustained climate strikes, and many more revolts around the world. We examined the shifting state of global power – in particular, the globalization of Chinese corporations through investments in mega-infrastructure around the world; the rise of data-driven capitalism; challenges to multilateralism; and ensuing geopolitical contestations. TNI subsequently co-produced a framework document for movements in Asia and Europe grappling with questions concerning China's Belt and Road Initiative, and is making a concerted effort to involve activist-scholars from and/or working on China. We established a new partnership with IT for Change, an India-based research and advocacy institute working on data rights, digital divides and digital governance, and employed a coordinator for our growing work in North Africa. The strategic discussions also provided initial input for the development of a new five-year strategic plan for TNI.

Our Fellows and Associates did us proud as always as you can read later in this report. They play a pivotal role within particular transnational movements, are public intellectuals that command much respect among TNI constituencies, and play an important ambassadorial role for TNI too. One, Jun Borras, earned much deserved recognition in being awarded a prestigious grant for top scientists from the European Research Council.

On a more sombre note, Thura Myint Lwin, a much loved and valued member of our Myanmar team, passed away after a long illness. We posthumously published his last paper on methamphetamine use in Myanmar, which resulted in a recent article in *The Economist*.

On the financial front, we more or less broke even in 2019. Fundraising continued to be a major priority, given the risk that a five-year grant representing half our budget might not be renewed from 2021. We reached out to sympathetic private foundations, with some success, and made a concerted effort to build an independent donor base, doubling our income from that source compared to the previous year. We have also built a continuity reserve that will buy TNI a little time should we face a major reduction in funding. Fortunately, we heard in March 2020 that one of our alliances would receive another five-year grant which provides some welcome longer-term security. More work does need to be done, however, to ensure we can sustain the breadth of our programme while rising to the challenge of a rapidly changing world now spurred by the unprecedented pandemic currently engulfing us all.

Grateful thanks go to all our funders and donors, Fellows and Associates, staff and research associates, allies and partners and, of course, of Supervisory Board for their ongoing support and contributions to TNI's efforts to put progressive and transformative ideas into movement.

Fiona Dove Executive Director

# **OUR MISSION**

TNI's mission is to strengthen international social movements with rigorous research, reliable information, sound analysis and constructive proposals that advance progressive, democratic policy change and common solutions to global problems. In so doing, TNI acts as a unique nexus between social movements, engaged scholars and policy makers.

### **OVERARCHING GOALS**

TNI's overarching goals for 2016-2020, consistent with our emancipatory vision for society, remain:

#### **STRATEGIES**

Construct a broadly-shared understanding of what blocks realization of an emancipatory vision of the world and of viable ways towards achieving that vision, especially from the perspective of people in the Global South.

#### **EMPOWERMENT**

Achieve the realization of potentials by citizens everywhere, but especially those suffering exclusion and poverty, to organize around, articulate and amplify their concerns and proposals.

#### **TRANSFORMATION**

Advocate for the transformation of norms, policies and practice of key public and private institutions, especially those with transnational influence, towards greater transparency, accountability, engagement with and responsiveness to citizens in democratic, equitable and inclusive ways.

In support of these long-term goals, which also indicate the type of work TNI does, an ambitious five-year programme was developed. By the end of 2019, as TNI enters the final year of the programme, impressive cumulative progress towards achievement of outcomes and goals have been documented. Below are brief summaries in this regard for 2019, and some elaboration of indications that the programme is on track to achieve its goals by the end of 2020.

Meanwhile in June 2019, TNI convened an international strategic seminar as a first step towards developing a new fiveyear strategic plan 2021-2026. The seminar involved 60 people including staff, fellows, board members, some key partner organizations and a number of knowledgeable resource people. Priority issues discussed included environmental breakdown; the emergence of data capitalism; and the rise of China.

### **TNI'S 2019 IN NUMBERS**

**RESEARCH OUTPUT** TNI launched **169 publications** in 10 languages

#### MEDIA TNI's ideas were mentioned in **271 media stories** reaching a conservatively estimated audience of **18 million**

including in: BBC, El Pais, NOS, The Guardian, El Mundo, The Economist, Forbes, de Volkskrant, Trouw, VICE, AFP, The New York Times, Al Jazeera, The Nation (Pakistan) and The Inquirer (Philippines)

#### **TNI'S WEBPAGES**

TNI's web pages were viewed more than **1.25 million times** an annual increase of 10% SOCIAL MEDIA TNI's ideas reached **1.71 million people** on Twitter, an annual increase of 15%

#### **EVENTS, WORKSHOPS AND SEMINARS**

TNI co-organised

events, workshops and seminars in

21 countries attended by 7,721 people

### 18,120 people

people heard TNI's ideas at

#### 264

events, workshops and seminars ACADEMIC CITATIONS

TNI Fellows and staff were cited **3.397 times** 

in academic publications, an increase of 12% DIALOGUE WITH POLICY MAKERS

TNI was in dialogue with policy makers from

35 countries and

# 15 international organisations

NEWSLETTER Subscriptions to TNI's e-newsletter increased by 6% to 22,852 people

### **HOW WE WORK**

For more than 40 Years, TNI has served as an activist think tank with, and for, progressive social movements across the globe. TNI takes as its vantage point the perspective of the Global South, by which we mean the poor, exploited, marginalised and oppressed of the world. Our scope is geographically global and scientifically social with an emphasis on multidisciplinarity. Our focus primarily concerns global public policy matters.

# TNI collaborated with 384 organisations in 60 countries,

37 regional networks and 20 global networks.

"My experience of working with TNI has been very positive. TNI provides a high level of technical expertise and is always willing to work collaboratively and share the expertise. PSI particularly appreciates the way TNI is able to take complex issues and make them understandable for workers and their union representatives. We also appreciate the way TNI has a worker and development focus that looks at the causes of global problems and not just the effects. Our partnership with TNI is valuable because TNI's values, its focus on making a real difference and the professionalism of its staff make collaboration easy."

**Daniel Bertossa** Assistant General Secretary of Public Services International (PSI), representing 30 million members in 170 countries



### WHO WE WORK WITH

#### **MOVEMENTS**

TNI works in support of, and as part of, progressive social movements in developing a relevant and useful research and advocacy agenda. We work with a broad range of organizations including trade unions, organizations of small-scale farmers and fishers, environmental and feminist networks, media platforms, and numerous issue-specific research and advocacy groups – both directly and within intersectional coalitions. We help to make links wherever possible and, in so doing, contribute to building powerful transnationally-connected movements for change.

#### **POLICY-MAKERS**

From local to international levels, and across the political spectrum, TNI provides civil servants and elected representatives with information and analysis on a widerange of themes. We do this, for example, through co-convening informal policy dialogues, presentations to parliamentary committees, or side events at UN meetings. Wherever possible we work with allies and try to ensure that those in whose name the advocacy is done are at the table.

#### **ACTIVIST-SCHOLARS**

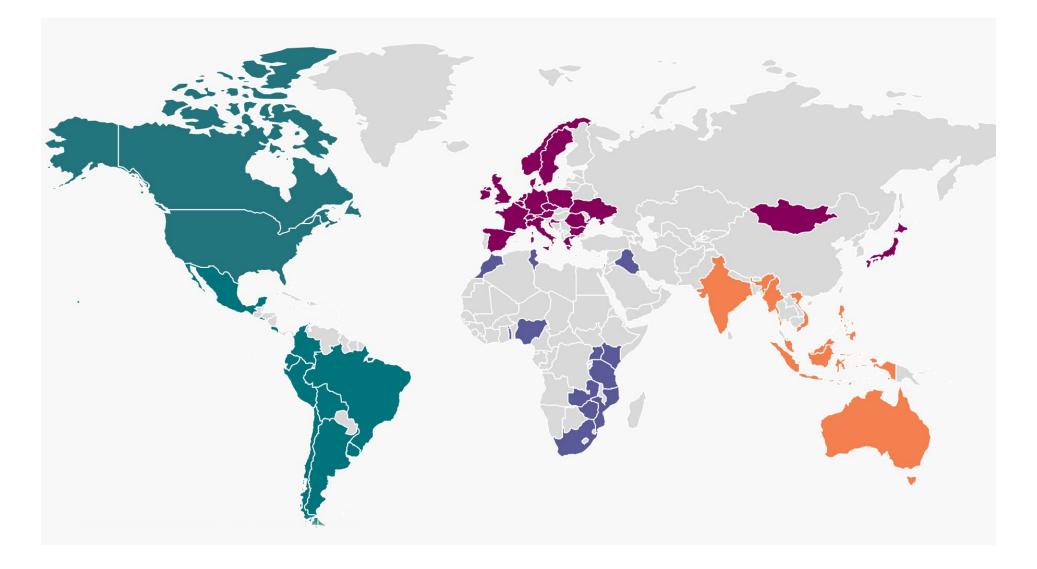
TNI strives to be a useful think tank for social movements. We work with activist-scholars to provide movements with the tools necessary to understand and take on the forces of wealth, power and control; analyses of developments that help movements stay one step ahead; and evidence-based argumentation. Activist-scholars can also serve as influential and visionary public intellectuals, and provide persuasive expertise in engagements with policy-makers and in the media. TNI also sees merit in building new generations of critical and radical intellectuals dedicated to serving the public good, providing a strong body of knowledge on which they can draw and build, and seeing knowledge production itself as a site of struggle.

#### **MEDIA**

TNI works with media to amplify the struggles of movements, to give visibility to progressive innovations, and to provide a critical perspective on the issues of the moment. This includes press outreach, active social media engagement as well as partnerships with progressive media platforms.

# **WORKING TRANSNATIONALLY**

For a full list of our partners visit http://annual2019.tni.org



### **PROGRAMME ACHIEVEMENTS IN 2019**

In support of our long-term goals, which also indicate the type of work TNI does, a five-year programme began in 2016. This is organized as seven broad programme areas each with an ambitious objective to be achieved by the end of 2020. As we enter the last year of the programme, we are very proud of how far we have come in meeting these objectives. Below are brief summaries in this regard, and some elaboration of indications that the programme is on track to achieve its goals.

"At Sintraemcali we're very grateful to TNI for the support they provided to sustain our struggle against the privatisation of essential services. We value the way TNI relates with us, as the institute recognises the importance of organised labour in today's economics and politics and gives us the tools to build or improve our own research and advocacy capabilities."

**Jhoni Trejos** Member of the Executive Board of the Union of Municipal Services Workers (Sintraemcali) of Cali, Colombia



# **OBJECTIVE 1**

Move towards the establishment of a treaty for binding regulations for transnational corporations, which would end impunity, and secure justice for communities affected by corporate abuses. In 2019, after just seven years of organizing and advocacy work, the treaty started to become a reality.

TNI's **Corporate Power** programme develops analysis and proposals on how to end corporate impunity and dismantle corporate power. A major vehicle for our work is the international Stop Corporate Impunity Campaign (Campaign), launched at Rio+20 in 2012. It is a loose network comprised of <u>238 organisations</u> representing 260 million people, located primarily in the Global South. TNI has served as the main anchor of the Campaign, and has supported regional coordination hubs for Southern Africa, Asia and South America. The Campaign ensures the voices of affected communities are heard and amplified, and mobilizes international solidarity. In 2019, this included organization of a 'toxic tour' of Mexico involving members of the European Parliament and US Senate. The Campaign has sought to involve a broad range of social agents - including trade unions, farmers' organizations, people's health advocates, environmental activists, feminists and women's organizations. It has also catalysed an expanding international network of parliamentary supporters; a supportive network of legal experts, and is working to consolidate an international network of observatories. Following extensive consultations, the Campaign has facilitated the collation of proposals for what members would like to see in a prospective Treaty, and distilled key demands. These have been fed into the official process through advocacy efforts undertaken by members at national level as well as in Geneva, and the organization of side events and the submission of written and oral statements during the UN talks in Geneva. For the first time, in 2019 the Campaign convened an informal policy dialogue with sympathetic governments ahead of negotiations in Geneva.

TNI also played an important role in convening the broader <u>Treaty Alliance</u> of civil society organizations in 2014, with which the Campaign has coordinated strategies since. Together, we have campaigned for a mechanism by which transnational corporations would be held accountable for human rights abuses and violations of international human rights law. In 2019, we also invested in "Futures Labs" to study the increasing displacement of multilateralism with multistakeholderism at global governance level, and state capture by corporations at national levels.

#### Results

The key results to which TNI contributed significantly in 2019 include:

- 9 governments participate in an informal policy dialogue ahead of the Geneva negotiations, with two emerging as strong champions for the treaty
- 89 UN member states and 2 non-member states, along with a record number of civil society organizations, participated in the <u>negotiations</u> on the first revision of the draft treaty. China sent its most senior delegation to the talks to date.
- African states led by South Africa and Namibia presented a strong unified front in favour of the treaty.
- 847.000 people of Europe signed a <u>petition</u> supporting a treaty and against the investment dispute regime, which was presented to the EU representative during the Treaty talks.
- The EU participated constructively for the first time in the treaty negotiations, mounting a strong defence of ongoing civil society participation against moves by authoritarian governments to exclude civil society.
- 18 more sitting parliamentarians joined the 257-strong <u>Global Inter-</u> <u>parliamentary Network</u> (GIN) spanning 23 countries, which broadened its base to more green and social democratic parties. GIN had a visible presence in Geneva, organizing a side event attracting representatives from 15 states.
- GIN efforts saw a <u>resolution</u> passed in favour of the treaty in the Mercosur Parliament.
- 6 new members joined the Campaign, including from Benin, El Salvador and a Latin American network concerned with access to medicine.
- The Mexican toxic tour was strongly covered in national media, directly engaged the Minister of Environment, and resulted in one destructive project being <u>cancelled</u>.
- 400 organisations signed up to a <u>letter</u> protesting the strategic partnerships between the UN and the World Economic Forum in respect of the Sustainable Development Goals, a move many saw as outsourcing UN responsibilities to transnational corporations.

# **OBJECTIVE 2**

To establish the principle that the public interest and the integrity of national judicial systems should not be undermined by trade and investment rules, particularly investment disputes.



After eight years dogged work towards this objective, the principle we have sought to establish is gaining ever wider recognition. Advocacy efforts have prompted a reform process which began in 2019 under the rubric of the United Nations Center on International Trade Law (UNCITRAL), as well as a process to 'modernise' the Energy Charter Treaty (ECT) under which a spate of Investor-State Dispute Settlement (ISDS) cases are being brought as governments attempt to effect commitments to climate change mitigation.

TNI's **Trade & Investment** programme critically follows trade and investment policies. In line with our mission, TNI provides well-researched analysis for social movements on social and ecological impacts, supporting public education, and the development of popular advocacy campaigns. These are geared towards alternative paradigms that prioritise the environment and people's rights over corporate profits. We worked with hundreds of allies all over the world, helping to build a powerful movement working from local to international levels. We also developed a new network of academic lawyers willing to support efforts in Latin America. A key development in 2019 was the joining of forces in 2019 of trade campaigners and the international networks campaigning to stop corporate impunity. This saw co-ordinated action across <u>Europe</u> and Latin America. The Asia-Europe People's Forum continued to provide a basis for ongoing coordination in that region. Cooperation with the 30-million-members-strong <u>Public Services International</u> saw concerted efforts to raise awareness of the perils of ISDS for public coffers among public sector workers in <u>Africa</u>.

The major focus of TNI's work in 2019 continued to be investor protection clauses in Free Trade and Investment Agreements. The spotlight was kept on the ECT, which had been looking to expand its membership. It has proved a major and increasing source of ISDS cases obstructing efforts at energy transitions, and has put ISDS squarely on the agenda of climate activists. TNI and its partners also continued to monitor EU agreements with Singapore, Indonesia, Vietnam, Mexico , Mercosur and Canada, as well as the Trans Pacific Partnership II, the Asian Regional Comprehensive Economic Partnership negotiations, and developments in respect of China's Belt and Road Initiative (BRI) investments and new instruments being developed for investor protections. TNI (co) published a number of new papers, accompanied by videos and (social) media outreach; helped to conduct activist workshops on the various continents; responded to media requests for more information; and provided expert advice to government officials and political representatives.

#### **Results**

The key results to which TNI contributed significantly in 2019 include:

- 106 states and 70 observer organisations participated in the first <u>UNCITRAL</u> meeting held in New York in April to discuss reform of ISDS.
- 847.000 people in Europe sign a <u>petition</u> to scrap ISDS and support a Treaty to stop corporate impunity, presented to the EU Commission representative to the Human Rights Council in Geneva in October.
- The Dutch Labour Party <u>withdrew its support</u> for the EU-Canada agreement (CETA)
- 100 candidate Members of the European Parliament pledged to oppose ISDS .
- The ECT secretariat launched a process of 'modernization' prompted by advocacy on ISDS, and suspended expansion of membership.
- <u>Luxemburg's Energy Minister</u> publicly denounced the ECT threatening to withdraw if reform negotiations are unsuccessful.
- A major public debate has been catalysed in Spain, following a number of ISDS cases brought under the ECT, with TNI as a major go-to point for journalists.
- Parties to the Asian Regional Comprehensive Economic Partnership dropped ISDS from its negotiations, with <u>Malaysia</u> and <u>South Korea</u> taking outspoken positions against ISDS following advocacy by TNI partners in those countries.
- Following strong advocacy by partners, the Foreign Affairs Commission of the Argentine Senate discussed a proposed <u>law</u> requiring the Executive to inform the Congress of impact assessments of Free Trade Agreements before these may be signed by the government.

# **OBJECTIVE 3**

To improve climate, energy and food security policies that would redirect trade and investment towards sustainable and inclusive food and energy systems, and which would genuinely address climate change challenges. Policies promoting large-scale, capital and energy intensive, and extractive forms of resource appropriation are everywhere disrupting locally adapted, culturally appropriate, mostly small-scale, and labour-intensive food production with profound social and environmental consequences.

TNI's **Agrarian & Environmental** Justice programme supports small-scale food producers, trade unions and environmental organizations in their advocacy for more sustainable and inclusive food and energy systems, and greater convergence of their respective positions and agendas.

TNI continues to provide materials for its allies that explain the problem with the extractive model. In 2019, this included a <u>report</u> on the history of Dutch land struggles by local small-scale agro-ecological farmers. This was complemented by another on how EU policies enable <u>land grabs</u> elsewhere in the world. Meanwhile, TNI sponsored a school in Tunisia attended by farmers and activists from 6 countries in the region. A well-received report on <u>extractivism</u> in the region was launched there, marking the start of TNI's new focus on the region.

With our fisher allies, we continued to unpack the Blue Growth agenda. This purports to respond to contemporary challenges by promising to conserve the seas, provide more protein as food, and promote renewable energy. Closer analysis belies these promises. TNI works with small-scale fisher organisations to develop critical responses, and to defend the principles won in the Small-scale Fisheries (SSF) Guidelines. In 2019, we worked with affiliates in Indonesia and Mauritius, both with sizeable small-scale fishing communities, to document the impacts of Marine Spatial Planning (MSP). This is a zoning process which ostensibly minimizes conflicts over access to land and sea. Again, it is clear that in practice small-scale fishers are not sufficiently considered stakeholders, much less rights holders. Instead, corporate interests are privileged, including ongoing mining, oil and gas extraction. We helped organize the Ocean Peoples' Conference in Oslo as a counter to the official Blue Growth conference, and have provided support while allies deliberated on strategy within the working group on fisheries of the International Planning Committee on Food Sovereignty (IPC). The IPC has been a key platform from which Guidelines on Land Tenure and Small-scale Fisher Rights have been won, as well as the recently approved UN Declaration on the Rights of Peasants.

Promoting <u>food sovereignty</u> is the second major focus for TNI and a vision developed by the global small-scale food producer organizations with which TNI works most closely. It is also the vision of two new projects in which TNI is involved on the European front. One concerns 'community-supported fisheries' and the other concerns 'community-supported agro-ecological farmers'. Partners in both advocate public policies to support direct producer-consumer relations at local level. They argue this as a sustainable alternative to the prevailing imperative towards ever larger and more intensive agriculture geared for export, which has become a major environmental threat and contributor to climate change. This kind of 'fish/farm to fork' idea, supported by local governments, was also put on the agenda at TNI's Future is Public Conference (see Public Alternatives).

The third focus for TNI is just transitions. In a year marked by acute environmental disasters and massive protests, climate was firmly top of the agenda in 2019. TNI has participated in various collective efforts to develop a common vision between small-scale food producers and environmentalists. We also participated in deliberations between trade unions and environmentalists in South Africa, Nigeria and the Americas, as well as broader intersectional meetings preparing for the UN Climate Summit. One exciting outcome was an emerging common vision of just transitions.

Meanwhile, TNI continued its cooperation with the international academic network of the <u>Emancipatory Rural Politics Initiative</u>, and other related research projects coordinated by the Institute for Social Studies of Erasmus University Rotterdam. These are linked to the <u>Journal of Peasant Studies</u>, edited by TNI Fellow, Jun Borras. The network provides intellectual resources useful to the movements.

#### Results

The key results to which TNI contributed significantly in 2019 include:

- A strong, intersectional and common vision emerges on just transitions.
- The <u>Ocean Peoples' Conference</u> uses TNI's critique of Blue Economy, and puts the issue on agendas of Norwegian and South Pacific trade campaigners.
- Indonesian fisher organization, KNTI, uses TNI's report on Marine Spatial Planning to expand alliances and in advocacy towards policy-makers.
- The <u>North African Food Sovereignty Network</u> grows by 6 members, and expands to 5 countries.
- The Dutch Federation of Agroecology Farmers (Federatie van Agro-ecologische Boeren) is born, conceived at a TNI co-convened conference the year before. It was able to provide an alternative voice on sustainable farming during the agri-business-organised protests against state efforts to reduce nitrogen emissions.

# **OBJECTIVE 4**

To advance viable, feasible and progressive proposals on the democratic provision and financing of public goods and services. A major challenge for proponents of public alternatives is the question of how to finance them. After a year's work, TNI published a widely appreciated book, 'Public Finance for the Future We Want', accompanied by a video, infographics, an executive summary and policy recommendations for wide dissemination purposes. With the production of this resource, the programme has achieved the five-year goal it set itself with a solid body of viable and feasible proposals produced. It is now a question of putting these ideas into movement.

TNI's **Public Alternatives** programme works to build a strong countervailing force that reverses privatization and helps construct democratic, effective, and universally accessible public services. Strategies include the promotion of public-public partnerships, partnerships between public companies and community cooperatives, making visible stories of success. TNI supports struggles in specific countries, providing international perspectives, network linkages, mutual learning opportunities and reflections on democratic political practice as a necessary precondition for public alternatives. We also provide resources of common value to the movements, translated into many languages and accompanied by popular materials.

In 2019, there was a high demand for TNI resources from Japanese activists concerned that new laws allow private financing of water services. The Japanese edition of our book, *Reclaiming Public Services*, which presents the latest trend towards re-muncipalisation, attracted significant media attention and became an important reference during parliamentary debates. In the city of Hamamatsu, the mayor abandoned his proposal to privatise drinking water after 600 residents gathered to express their opposition to the plan.

In Nigeria, TNI supported the organization of a national water summit in Abuja by trade union and environmental partners. The summit was attended by 150 people, including relevant policymakers and garnered coverage by 20 national newspapers. We also continued to work with South African trade unions and environmental organizations on the complex question of the threatened unbundling of the beleaguered national energy utility – widely perceived as a precursor to privatization - and how to achieve a just transition away from the country's dependence on fossil fuels while also addressing questions of energy poverty as well as broader issues of inequality and democratisation. The process produced remarkable unity between unions in competing federations, as well as with key environmental organisations.

In Latin America, TNI provided ongoing support to the Platform for Public Community Agreements of the Americas (PAPC), which fosters communitypublic partnerships on water. PAPC involved 52 organisations from 6 countries in mutual learning exchanges, including associations of community aqueducts, trade unions, social and environmental organizations concerned with strengthening community water management and the defence of water as a common good and fundamental human right. TNI also continued to support the public sector union of Calí (Colombia) in their successful struggle against the privatization of the telecommunications section of their company. The company subsequently signed a public-public partnership with a strong Uruguayan counterpart, with which TNI has had historical relations at both union and company level.

On the European front, TNI participates in <u>mPower</u>, a <u>collaborative project led by the</u> <u>University of Glasgow</u>, which involves peer-to-peer learning among 100 European municipalities. It is aimed at achieving large scale, replicable success in respect of energy democracy and just transitions. In 2019, mPower provided a bespoke peer learning programme for 27 local authorities, as well as seven in-person trainings, with 6 municipalities subsequently declaring a climate emergency. TNI coordinated dissemination of the best practices which emerged from these encounters.

We also co-organized a second regional Eastern European New Politics seminar in Prague in 2019. This resulted in plans for an ongoing project to reflect on Eastern European democratic imaginaries since 1989, expected to consolidate the network in the region.

TNI engaged with the UK Labour Party's National Policy Forum on public ownership of water and energy, provided a study of Portugal's radical anti-austerity programmes, and facilitated input from Paris' public water utility that was brought back under public management 10 years prior. With the production of this resource, the programme has achieved the five-year goal it set itself with a solid body of viable and feasible proposals produced. It is now a question of putting these ideas into movement.

The year ended with a major international conference on democratic ownership of the economy co-organized by TNI, De 99 van Amsterdam – a new think tank supported by the City – and 16 international partners. Held in a mosque and Ghanaian church in a marginalized neighbourhood of Amsterdam, the conference was attended by 332 people from 35 countries, including municipalities, trade unions, researchers, sector professionals, politicians, journalists, campaign organisations, and philanthropic foundations. The conference provided a much appreciated space for alliance-building among diverse advocates and movements, as well as empowerment for the host communities. It resulted in a half-hour broadcast of the Laura Flanders show in the USA, extended collaboration with the University of Glasgow to jointly build an interactive global database of (re) municipalisation as a public resource, and plans for a follow-up conference in Amsterdam in 2020.

The conference culminated with the second annual <u>Transformative Cities</u> awards, broadcast live and followed by audiences in 11 countries. 34 submissions from 24 countries were documented by journalists, and added to the <u>Atlas of Utopias</u>; short-lists were developed by an international panel and were subject to popular voting by 11,000 people mobilized by local groups vying for support. The winning entries gained publicity in their own countries affirming their efforts and empowering their advocacy on universal water access, housing for all, democratic energy transitions, and sustainable food systems.

#### Results

The key results to which TNI contributed significantly in 2019 include:

- Hamamatsu (Japan) abandoned plans to privatise drinking water. TNI's remunicipalisation book received widespread media coverage and became an important reference in parliamentary debates.
- The Abuja (Nigeria) National Water Summit was organized and covered by 20 national newspapers.
- The ailing Calí public utility signs a public-public partnership in respect of its telecommunications section with a successful counterpart in Uruguay.
- 52 organisations from 6 countries in Latin America engaged in peer learning on community-managed water systems.
- The Atlas of Utopias attracted 19,000 unique visitors and 11,000 people participated in the voting for the Transformative Cities awards.
- South African unions across two competing federations, as well as key environmental groups, united in opposition to privatization of the national power company and for a just energy transition.
- 27 European municipalities participate in mPower mutual learning on just energy transitions, and 6 declare a climate emergency.

# **OBJECTIVE 5**

To reassess the conventional repressive drugs policy approaches in favour of pragmatic policies based on harm reduction, human rights, and development principles.

Over the past twenty years, TNI has earned a reputation for developing innovative drug policy proposals and promoting these through effective advocacy. We consider issues of conflict, development and democracy and are guided by principles of harm reduction and human rights for producers, as well as users. TNI is regarded as one of the most knowledgeable watchdogs of the UN drug control system and our advice is often sought by government officials, UN agencies, and NGOs. TNI's Informal Drug Policy Dialogues have brought government officials from more than 30 countries together with representatives of international agencies and civil society for open-minded and confidential strategy debates about drug policy changes. TNI has cultivated a strong network of legal experts to develop concrete proposals for national drug law reforms and for the modernization of the UN treaty system. At the same time, TNI also has its feet firmly on the ground. We work closely with rural communities involved in illicit cultivation of coca, opium poppy and cannabis, including in complicated conflict situations like in Colombia and Myanmar, where TNI has been involved in peace building efforts for many years. TNI helps smallscale producers across the world to find a voice in national and international policy spaces.

The emerging legal global cannabis market has been a key focus for TNI in 2019. This includes the historical moment to win global recognition for the medical usefulness of cannabis based on a World Health Organisation (WHO) cannabis review process. WHO has tabled a number of recommendations to reclassify the plant and its components, which will be put to a UN vote. TNI actively engaged in the debates and <u>published an in-depth briefing</u> about the implications of these changes while pushing for a more critical review, since the proposed changes do not properly reflect the opportunities at stake. This includes the opportunity for the emerging medical cannabis market to include and benefit small-scale traditional farmers. TNI has taken the initiative to support and defend their right to access and participate in the debates. With partners organizing among small-scale farmers, TNI has developed a proposal for a UN drugs treaty modification which could provide a basis for setting the terms required to reach fair(er) and more inclusive trade for those impoverished communities which have depended on the illegal market for so long. TNI also supported the establishment of a regional Caribbean group of local cannabis growers that could give voice to concerns and proposals in engagements with their governments and at regional level. Together with the government of Saint Vincent and the Grenadines, TNI organised a workshop to

discuss a strategy for fair(er) trade in cannabis. This saw the establishment of a new group of activists, academics and policy makers from the region that will work together to draft a position paper on cannabis policies in the Caribbean. Similar efforts in Morocco continued throughout the year.

In Europe, several city and regional authorities are developing initiatives to regulate their local cannabis market. TNI brought together several of these in producing <u>a study</u> comparing progress in 6 countries, and uncovering the policy dilemmas faced by local authorities and civil society groups alike. The outputs were shared with policy makers, local authorities, civil society, media and academics to provide input for local as well as national and EU level policy discussions.

TNI also launched a report on the <u>smokable cocaine market in Latin America and the</u> <u>Caribbean</u>. This is the result of a longstanding collaboration with harm reduction groups in the region advocating that governments take effective action. Policies for users of this substance tend to be merely repressive and only exacerbate related problems, while access to health services is extremely limited, leading to extreme human suffering in many urban centres.

Organised farmers from both Colombia and Myanmar were able to make inputs to the Commission on Narcotic Drugs in 2019 describing the conditions of their communities and making a plea for a peace-building and development-oriented approach to the drugs question. TNI helped partners in Colombia in several ways to defend and deepen the peace process, which is threatened following political changes, widespread assassinations of local social leaders, and government intentions to resume chemical spraying of coca fields. TNI also helped build the Myanmar Opium Farmers' Forum (MOFF), including linking opium farmers' families and a drugs users' network. The MOFF was able to build relations with the Office of the Narcotics Control Board, has attained some legitimacy in engaging with Myanmar government officials, and its annual statement articulating the conditions and needs of the communities it represents provided a basis for parliamentary questions. A MOFF/TNI film documenting the lives of opium farmers in Myanmar won the best documentary award at the only national film festival in Myanmar (See also under Results for our Myanmar programme). Based on the grounded knowledge of its team in the country, TNI challenged a dangerously misleading UN Office for Drug Control (UNODC) report which blamed increased opium production

on local ethnic armed organisations in territories, in fact, under government control. This resulted in UNODC issuing a clarification, if not a correction.

TNI co-organised the <u>10th Asian Informal Drugs Policy Dialogue</u> in Myanmar, which was attended by governments, experts and civil society organisations from 11 countries in the region. The dialogue nudged governments towards a less repressive and more harm reduction oriented approach, with Myanmar, Thailand and Malaysia providing a lead on reform. Input from TNI included a <u>policy briefing</u> on methamphetamine use in the region.

#### Results

The key results to which TNI contributed significantly in 2019 include:

- A new Caribbean group developing a cannabis policy, based on fair trade principals, is established.
- Fair trade medical cannabis is put on the agenda of national governments in the Caribbean, Morocco as well as of UN bodies.
- A strengthened MOFF able to engage, without fear of reprisals, in national policy processes in Myanmar.
- Discernible progress on reform in a less repressive, more harm reduction oriented direction among some key Asian governments.

# **OBJECTIVE 6**

To make publicly visible the permanent state of war and the pacification of resistance.

TNI's **War and Pacification** programme is concerned with analysing and exposing the drivers and consequences for human rights and democracy of the state of permanent war, particularly in the context of the authoritarian trend that is discernibly growing globally. Similarly, it interrogates the pacification of resistance and attempts to criminalise dissent and solidarity. The latter was particularly relevant in 2019 which has been dubbed the year of protest for the sheer number of places where fed-up citizens took to the streets against their governments, and which were marked by a global pattern of brutal policing and extreme repression.

In 2019, TNI's work focused on the corporate drivers of militarised border policies; explored progressive alternatives to counter-terrorism policies; and began a new pan-European project looking at the structural drivers of institutionalized anti-Muslim racism. In the spirit of the latter, TNI co-published a well-publicised statement denouncing Dutch legislation to ban women wearing the *niqab*, which was picked up by the UN Special Rapporteur on Racism who was outspoken about the ban on her visit to the Netherlands. We also continued to monitor and publish analysis of ongoing and threatened wars -- notably in Syria following the US withdrawal of troops from Kurdish areas, and provocations in respect of Iran on the part of the US and UK-- as well as the fragile peace in Colombia and Myanmar (see more under Results for our Drugs and Myanmar programmes).

We produced three new reports in our Border Wars series, placing the border security industrial complex firmly on the agenda of many NGOs working on issues of migration. We provided solid, well-sourced evidence regarding the role played by corporate actors in shaping border politics making them increasingly militarized and hostile to those seeking asylum. TNI published *The Business of Building Walls* to coincide with the 30th anniversary since the fall of the Berlin Wall, which sparked widespread media attention in 30 countries including *The Independent, Al Jazeeera* and *Euronews*. A second report concerned the US-Mexico border, *More than a Wall*, which was also very well received, notably by *Agence France Press* and Mexican media. We also received interesting feedback from an employee of a federal contractor in the USA who had read the report and would like to learn more about the role of private contractors in shaping militarised borders. The third report, *Guarding the Fortress*, concerned the role of the European Border and Coast Guard Agency in militarising borders and criminalising migrants, undermining fundamental rights to freedom of movement and the right to asylum. TNI was invited to present its

borders research at a gathering in Tunisia of African and European political and UN representatives, activists, academics, and NGOs. Our critical framing of border externalization was highly valued by participants present from countries such as Mali and Niger, who experience the direct consequences of European border policies and the devastating impact on their national and regional contexts. The UN Working Group on Mercenaries also reached out, finding our framing particularly useful with regard to the role of private actors and their influence on border politics. TNI was also invited to host an informative panel at Sabir Festival of Mediterranean Cultures in the south of Italy, which discussed how the militarization of borders has become a globalized phenomenon. Many European-based activists and NGOs later reported finding our panel particularly insightful and informative in joining the dots between border policies in the Americas, Asia, Africa and the EU. In particular, we were commended for including a discussion on the role of China, the Belt and Road Initiative and its impact on ports and movement. TNI also travelled to key border regions in the Americas, including the Mexican border with Guatemala and the Colombian border with Venezuela, to observe first hand border dynamics and to meet with partners and consolidate relations.

TNI brought together a group of critical security and counter-terrorism analysts based in Britain, who produced a unique progressive policy document titled Leaving the War on Terror. This policy document is the first of its kind in that it goes beyond simply criticising Britain's role in the War on Terror and its counterterrorism policies, and actively proposes an alternative approach to what security should look like. It was the subject of a panel discussion held during the Imagine Belfast Festival of Ideas and Politics. The report was launched to a full house at the House of Commons at an event hosted by the Shadow Home Secretary. There was much engagement with the report on social media platforms with 1,252 downloads and 2,600 views of the accompanying video in the first week alone. The report has been praised by political figures within the Labour Party, as well as activists and academics alike for its invaluable contribution in shifting the narrative from a militarised model of security to interrogating what security really means for us as we go about our daily lives. TNI also submitted input to the UN Special Rapporteur on the Promotion and Protection of Human Rights and Fundamental Freedoms while Countering Terrorism. She conveyed that she found the framing particularly useful for her report on soft law and countering violent extremism (CVE) in moving the conversation beyond counter-terrorism, and looking at how it impacts our

sense of security more broadly almost twenty years since 9/11 launched the state of permanent war.

The year culminated with the publication of a book on the <u>Far Right</u> by TNI Associate Walden Bello, and support for a well-attended Europe-wide promotion tour. In addition, TNI co-published a <u>report</u> which reflects interviews with over 80 progressive activists around the world on the threat of the far-right. The consensus view emerging from the latter was that the far-right has been able to capitalise on popular discontent with economic globalisation, and popularise a racist theory of a "great replacement" underway through migration. Contributors identify weaknesses as the inability of the far-right to deal with climate issues and the encouraging internationalism catalysed by concerns about climate change as key to any counter.

#### Results

The key results to which TNI contributed significantly in 2019 include:

- The UN Special Rapporteur on Racism was outspoken about the niqab ban on her visit to the Netherlands.
- Media attention in 30 countries for report *The Business of Building Walls*.
- *Leaving the War on Terror* report garners 1,252 downloads and 2,600 views of the accompanying video in the first week after publication.
- <u>Deloitte</u> employees morally outraged by family separations on the US southern border and the role of the company as a federal contractor for ICE and United States Customs and Border Protection read our report and ask for more information.
- The UN Working Group on Mercenaries use our reports for a workshop.

# **OBJECTIVE 7**

To support civil society organisations in Myanmar in addressing ethnic conflict to make the current peace and political and economic reform process more inclusive.

TNI also brought representatives from these different land policy processes and from key ethnic-based CSOs with which we have been working for many years, to strategise together. After developing a joint position on the critical issue of recognition of customary tenure, these same CSOs and EAOs next began discussing

TNI's **Myanmar programme** works to strengthen ethnic-based civil society organisations (CSOs) and Ethnic Armed Organisations (EAOs) to engage in policy development with respect to land and related resources, and drugs. TNI is helping to build the capacity and confidence of opium farmers and other rural working people (including fishers), with an emphasis on women, youth and internally displaced people (IDPs), to develop their own policy position; to normalize dialogues with EAOs towards achieving a social compact; and to facilitate people-to-people exchanges among the regions to collectively strengthen their engagements with the Myanmar national state on common policy areas. TNI works in Karen, Kachin, Karenni, Mon, Shan and Kayah States and Tanintharyi Region, and at the national level. It brings international context and experiences to bear on the process, and helps CSOs to TNI has also facilitate 2019, representative from Mon, Karen, K developed a joint por vision in separate more and at a public launce vision in various activities. speaking up for the milestones. MOFF more

In 2019, as an outcome of processes TNI has facilitated since 2013, two EAOs finalised land and natural resource policies, bringing to four the total number of finalized EAO land policies developed with support from TNI. Based on research and consultation, these policies reflect customary practices and tenure systems in use in different ethnic regions. Implemented by EOAs on the ground, they provide evidence that recognising customary systems is possible *and* contributing to environmental sustainability and peace building. These policies serve to promote a more inclusive and democratic land governance vision for the country, including in peace negotiations between EAOs and the government. They reflect international best practices, including on the right to land for women as well as for displaced communities, and have been developed in close cooperation with local civil society organisations (CSO) and through extensive grassroots consultations. CSOs are often in the lead in policy development, thanks to the capacity-building support they have received from TNI and the Myanmar NGO partners with which cooperation has been built over the years.

a federal land law and began working on drafting a framework for engaging with this.

analyse national legal proposals and understand their implications for grassroots

communities while encouraging them to articulate their own perspectives.

TNI has also facilitated processes with The Border Consortium (TBC) since 2014. In 2019, representatives of internally displaced people (IDP) and refugee committees from Mon, Karen, Karenni, Shan and Kachin communities came together and developed a joint position paper on their right to land. They presented their shared vision in separate meetings with international donors, with ethnic political parties, and at a public launch.

Meanwhile, TNI continued to support the Myanmar Opium Farmers' Forum (MOFF) in various activities. As opium farmers' livelihoods are still criminalised by law, speaking up for themselves is difficult but necessary, and 2019 brought several milestones. MOFF members joined an exchange visited to the Illicit Crop Monitoring Survey Team of the Thai Office of the Narcotics Control Board (ONCB) in Chiang Mai and joined an ONCB illicit crop monitoring and eradication team visit to a Lahu village. This gave them insights into alternative policy options as well the possibility of more positive relations between government and opium farmers as practiced in Thailand. Also, the MOFF/TNI film 'The lives of producers of prohibited plants in Myanmar' won the award for best documentary at the Wathann Film Festival. MOFF representatives introduced the film in person, bearing testimony to their growing confidence to speak out in public about their plight. Finally, the official statement from MOFF's annual forum was read out at the Governmental Central Committee for Drug Abuse Control (CCDAC) coordination meeting. It was used by the National League for Democracy (NLD) to raise questions in the national parliament.

In other work in 2019, TNI organised a political economy crash course on demand for 125 CSO representatives. They gained deeper understanding of the paradigms driving the world's economy and how they impact on national and local conditions. The course provided participants with analytical tools to help interpret and navigate developments unfolding around them, thus better positioning them to shape positive investments.

Meanwhile, TNI's report 'Selling the Silk Road Spirit – China's Belt and Road Initiative in Myanmar', based on Chinese language sources and thus bringing a new perspective, received significant attention in the Myanmar media. It was used in workshops by CSOs and ethnic political parties to strategise and develop policy to address the negative impacts of Chinese investment in Myanmar.

Finally, taking on a very sensitive subject, TNI's report '<u>Arakan (Rakhine State): A</u> <u>Land in Conflict on Myanmar's Western Frontier</u>' was well received by key local and international actors. Outlining grievances and aspirations of Buddhist Rakhine *and* Muslim Rohingya political movements, the report shows how Arakan represents Myanmar's post-colonial failures in microcosm: ethnic conflict, political impasse, militarisation, economic neglect and the marginalisation of local peoples.

#### Results

The key results to which TNI contributed significantly in 2019 include:

- A further two ethnic regions (now four in total) produced land and natural resource policies reflecting local customary practices and tenure systems, developed by CSOs and implemented by EAOs
- Cross-regional cooperation between CSOs and EAOs result in agreement on customary tenure, and cooperation towards a common position towards a federal land law.
- IDPs and refugee committees from five ethnic minority regions develop a joint position on land rights which they present to international donors, ethnic political parties, and publically.
- The Myanmar Opium Farmers' Forum grows in confidence, develops relations with the Office of the Narcotics Control Board, and with government officials. Their film wins best documentary at the main national film festival.
- 125 CSOs participate in an international political economy course providing them with tools for understanding the bigger context for contestations over development paths.
- CSOs and ethnic political parties are able to develop responses to Chinese investments based on accurate information on Chinese strategies towards Myanmar. The report garners significant media attention in Myanmar.
- A report on Rakhine State provides a perspective from both Buddhist and Muslim communities is well received by local and international actors.

# **BOARD REPORT**

The foundation "Stichting Transnational Institute" was registered with the Dutch Chamber of Commerce on 21 March 1974.

The objectives of the foundation are to strive for a world of peace, equity and democracy on a sustainable planet brought about and supported by an informed and engaged citizenry, as well as all that is directly or indirectly connected therewith or may be conducive thereto, all to be interpreted in the broadest sense, if in the general interest. The foundation seeks to realise its objectives, *inter alia*, by

- a) strengthening the capacity of progressive international social movements to advocate for change
- b) acting as a unique nexus between social movements, engaged scholars and policy makers
- c) producing rigorous research and analysis
- d) organising international conferences; and
- e) collaborating with other organisations worldwide

### **2: GOVERNANCE AND ORGANIZATION**

# **BOARD REPORT**

The governance structure of TNI is set up as follows:

- The Supervisory Board, which is responsible for supervising the management of the Foundation and the general course of affairs, and to provide the Management Board with advice.
- The Management Board (Executive Director), which is responsible for the realisation of the objects of the Foundation, the strategy, the policy and the results thereof.
- The Fellowship consisting of recognised international experts in the substantive areas that are relevant for the work of TNI, which also advises the Supervisory and the Management Board on issues of substance.
- The Board of Advisors, composed of eminent international experts, which renders assistance to the organisation in more general terms.

The structure is set out below:



The composition of each of these organs is based on a careful balance to ensure participation of the various stakeholders relevant for TNI and to reflect its international composition and orientation as well as its ambitions. This includes considerations of gender, continental representation, scholarly capacity, engagement with social movements TNI seeks to serve, as well as the relevant disciplines and skills required by TNI.

#### **Composition of the Supervisory Board in 2019**

The Supervisory Board is selected on the basis of the criteria laid down in the by-laws, and profiles which are regularly reviewed and revised. A committee – comprised of one Supervisory Board member, one staff member (usually the Executive Director), and one fellow – interviews candidates and makes a recommendation to the Supervisory Board, which makes the final decision.

The Supervisory Board members serve a four-year term, once renewable, and are not remunerated. The Supervisory Board meets at least three times per year to discuss the progress of the organisational activities. Detailed information about the Supervisory Board members can be found on the TNI website <u>here</u>.

Susan George has served as President of TNI since 2015, which is an honorary position.

The composition of the Supervisory Board in 2019 was as follows:

Gisela Dütting	15/04/2016 – 29/03/2019 (2nd term)
Pauline Tiffen	12/12/2014 – 11/12/2022 (2nd term)
Frenk van Enckevort	15/06/2018 – 14/06/2022 (1st term)
Ruth Kronenburg	29/10/2018 – 28/10/2022 (1st term)

There were some changes to the Supervisory Board in 2019, all formalized at its meeting of 29 March 2019. Gisela Dütting, the Chairperson, stepped out of the Board. This resulted in a reshuffle of portfolios among remaining Board members. Pauline Tiffen took on the role of Chairperson, Ruth Kronenburg that of Audit Committee, and Frenk van Enckevort that of Secretary.

The Board serves voluntarily and is not remunerated.

#### **Composition of the Management Board**

The Management Board consists of one person – the Executive Director, Fiona Dove. The following staff report directly to her: the Personnel Officer, Programme Coordinators, Communication Coordinator, Evaluation Officer and Community Builder.

The leadership expected from the Executive Director is to provide vision and to help staff think strategically. She is supported in the daily management by a Management Team comprised of the Personnel Officer, Programme Coordinators and Communication Coordinator, which meets weekly. The Supervisory Board determines the remuneration of the Executive Director. The remuneration complies with both the guidelines of Goede Doelen Nederland as well as those of the Dutch Government (Wet Normering Topinkomens).

Remuneration of the Management Board/Executive Director:

	2018	2019
	€	€
Gross salary	80.608	82.713
Holiday pay	6.405	6.520
End of year payment	500	500
	87.513	90.733
Taxes and premiums paid by TNI	9.702	10.402
Pension contribution from TNI	9.800	10.354
	107.015	110.489

#### **Composition of the International Fellowship**

Fellows work with the TNI programmes closest to their specific areas of expertise, producing relevant analyses and commentaries, often linking TNI to broader scholarly communities. They meet together at least once a year for the annual Fellows' Meeting. These meetings take stock of the implications of recent global developments, enabling TNI to assess the context in which it is working. We also explore emerging questions, bringing in additional expertise where necessary, helping TNI 'stay ahead of the curve' and to think openly and critically about the big issues.

Fellows serve a four-year term, renewable once. Detailed information about the current Fellowship can be found on the TNI <u>website</u>. The current composition of the Fellowship is as follows:

Achin Vanaik (India)	3 December 2015	31 December 2019
Ben Hayes (UK)	30 September 2015	31 December 2019
David Fig (South Africa)	21 September 2015	31 December 2019
Phyllis Bennis (USA)	15 April 2015	31 December 2019
Hilary Wainwright (UK)	15 April 2015	31 December 2019
Daniel Chavez (Uruguay)	15 April 2015	31 December 2019
Edgardo Lander (Venezuela)	15 April 2015	31 December 2019
Jun Borras (Philippines)	15 April 2015	31 December 2019

Former Fellows join the ranks of TNI Associates, which may also include other researchers and scholars who make substantial ongoing contributions to the TNI community. Together, they constitute the 'think tank' dimension of TNI.

The current term of office of the Fellowship expired at the end of 2019. TNI has been undertaking an extensive process of evaluation and consultation with a view to reconstituting the various structures of TNI, including the role of Fellows and Associates, from 2020 onwards.

'Futures Labs' were introduced as an innovation in 2019. These are conceived as working groups on key issues of the future which TNI needs to understand better. The three key subjects identified include the trend away from multilateralism and towards multistakeholderism; the advent of the data capitalism; and the globalisation of Chinese corporations. The first took the form of a special seminar, which is now feeding into new work being developed by one of our existing programmes. The second took the form of commissions of a series of articles, the identification of knowledgeable scholars and activist organisations with whom TNI might cooperate, and the beginnings of a new area of work which all our programmes are considering how to integrate. The third has involved proactive identification of knowledgeable scholars, particularly Chinese scholars, analysing the Belt and Road Initiative and developing a framework paper to help TNI and its allies rise to the challenges posed.

#### **Composition of the International Advisory Board**

The International Advisory Board, and its composition, is under consideration. It was not operational in 2019.

### **3: RISK MANAGEMENT**

# **BOARD REPORT**

TNI regularly assesses legal; security; financial; reputational; and operational risks. Overall risks are assessed annually in terms of probability and severity of impact and improved mitigation measures put in place where necessary. An inventory is under development, which will provide the organisation with a comprehensive matrix for monitoring purposes.

Below the key risks addressed in 2019, and identified for 2020 are discussed.

#### Covid-19

An important risk identified for 2020 is the outbreak of the Covid-19 pandemic. Measures taken to ensure the safety of staff include the closing of the office, allowing staff to work from home, and arranging regular online team and staff meetings until it is officially deemed safe to reopen the office. There are also risks associated with lock-downs during the Corona crisis, whereby most people work from home and often outside of secured computer network systems. Efforts to ensure cyber security awareness continued to mitigate breaches (see more on cyber security below).

In preparation for the reopening of the office, plans have been made to minimize contact risk. These include allowing those who need to travel by public transport or who feel particularly vulnerable or who suspect they may be infected to continue working from home until it is safe to return; arranging shifts to ensure not too many people are in the office at the same time; the provision of appropriate equipment, such as shields between desks, the availability of sanitisers, gloves and masks; and agreement on safety protocols.

No travel or physical events are foreseen for 2020, with most activities going online where possible, or otherwise postponed. All programmes will revise plans and budgets accordingly, in discussion with partners and funders as required. Every effort will be made to minimize the financial impact on TNI, its staff including freelancers, and its partners.

There is some anticipation that the subsequent economic crisis may impact on funders, particularly private foundations that rely on invested capital. Mitigation strategies include diversifying the funding base.

#### Security and safety

Security and safety remains a key risk to monitor in 2020. There is acute awareness of the growing climate of repression against progressive activists discernible across the world, including against partner organizations. Key risk mitigation measures put in place in this regard in 2019 are elaborated below.

#### Myanmar

Our Myanmar programme involves people from conflict zones in a deteriorating political context. In 2019, a thorough matrix of risks was developed, which is assessed and updated annually for probability and severity, and includes considered mitigation strategies. Mitigation strategies followed in 2019, for example, included moving meetings to neighbouring countries for the safety of participants. In 2020, local staff will be required to fill in risk assessments for each activity organized.

#### Data security and safe comunications

A comprehensive Information & Communication Technology (ICT) policy, including data protection, data security and data breach policies and procedures, was adopted in 2019, codifying many pre-existing practices. This was developed with external expert support, and workshopped with

staff before being finally approved by the Supervisory Board. Staff are well educated about the need to be compliant with the General Data Protection Regulation (GDPR). This is regularly checked under the responsibility of management staff.

TNI's Computer Support team regularly educates staff on safe communications, raising awareness of the pitfalls of certain applications and platforms and the merits of others. The team has created a TNI 'next cloud' held on a number of servers in The Netherlands which gives remote access shared directories for authorized staff. It has also created a second cloud where documentation may be shared with authorized external parties. The computer support team has also worked with Dutch counterparts in the Fair, Green and Global Alliance to the same end, running workshops with staff to raise awareness, and developing recommendations for changes that should be made in the way data is shared and stored, and communications are conducted.

#### Website security

TNI's website is hosted on very secure servers with regular back-ups. In consultation with our security conscious web developers, we have worked hard to ensure tni.org is a closed loop site with few easy points of intrusion. Access to the website is limited to a handful of individual staff and administrative access is limited to just two individuals. Nevertheless, TNI's website came under protracted DDoS attack in 2019, one of many NGO sites targeted around the same time. Our site was down for nearly a month though we were able to run a mirror site within a few days while measures were put in place to put up a permanent shield to fend off the attack and prevent any future such attacks.

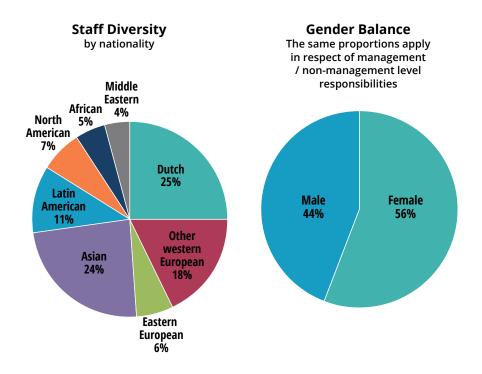
## **4: ORGANISATION**

## **BOARD REPORT**

TNI puts a lot of effort into ensuring it acts internally in ways consistent with its values, and improving its accountability.

#### **Fair Employer**

In line with TNI's vision and values, we are an equal opportunities employer with a strict policy of non-discrimination and strive for as much diversity as possible within the organization. Twenty-two nationalities are represented among our total complement of 55 staff, which includes payroll, external staff, interns and volunteers. Monthly staff meetings are held where news is exchanged, and consultations on policy are held. Separate staff meetings are held in Myanmar for the staff based there.



Consistent with our vision and values, TNI's internal organisational culture is non-hierarchical and highly consultative. As far as possible, decisions are taken through a process of consensus-building. While most staff are expected to be self-steering, there are also clear lines of accountability and recognition of the authority of the team leader to make final decisions. The organogram is set for each five-year cycle, being reviewed as necessary.

By the end of 2019, the foundation had 25,29 full time equivalent (FTE) employees (in 2018: 24,79) on the payroll. The average number of FTE over the year was 25,60 compared to 25,40 the previous year -- representing an increase of 0,2 FTE. At the end of the year, these figures represented 28 individuals. There were no resignations in 2019. One staff member was medically boarded part-time, with every effort made to support his recovery and gradual reintegration into the workplace on a full-time basis.

TNI uses as its guideline the Collective Bargaining Agreement (CAO) for the Care and Welfare Sector in The Netherlands. Staff receive an incremental increase for each year of service. In addition, and in line with the CAO, staff were awarded a one-off payment in January 2019, and a salary increase in July 2019. The ratio of the highest:median salary was 2,2 and the highest:lowest was 3,4. These figures do not take account of years of service or income tax differentials, which would bring the ratio down.

According to benchmarks established in 2018 by Partos, the umbrella body for non-governmental organisations operating within the development cooperation sector, TNI salaries are within the norm for the sector. In respect of benchmarks for the charitable sector more broadly, established by Goede Doel Nederland, TNI salaries are slightly below the norm. There is a training budget set at 1% of the personnel budget, and guided by a training policy. 15 staff benefited from training courses in 2019 at a cost of Euro 9,853. These included data security and safe communications, foreign language skills (Spanish and French), graphic design, campaigning, writing, research grant writing, first response (medical emergencies), mindfulness, and gender/power sensitivity training. In addition, an in-house skills share was run on English writing, and weekly lunch-time accelerator sessions were introduced for self-education purposes.

Work began on the development of new Disciplinary and Grievance policies and procedures, which shall be finalized in early 2020.

The costs of the employees on the payroll were:

	2018	2019
	€	€
Salaries	1.163.197	1.176.836
Social security	185.503	200.900
Pension premiums	87.959	83.102
Sickness insurance	17.789	17.565
Other personnel costs	34.808	40.315
	1.489.424	1.518.718

#### Volunteers, Interns and Visiting Scholars

TNI had 2 volunteers in the course of 2019, and welcomed 8 interns hailing from China, USA, Germany, Spain, Bulgaria, UK and Netherlands. Most were students at Dutch universities. They are paid an honorarium in line with Dutch norms.

In addition, we continued to host visiting scholar, Siobhan Airey, based at TNI since 2018 while undertaking post-doctoral research on finance for development from a legal perspective and supported by a Caroline Fellowship from the Irish Research Council.

#### **External Staff**

TNI is registered as an employer only in The Netherlands and Belgium. Staff located in other countries are employed on freelance contracts. These staff are treated equally with payroll staff, with their salaries pegged to TNI salary policy and compensation for social security and pension contributions built into the calculations, adjusted for domestic tax rates and costs of living. In 2019, TNI employed 17 dedicated freelance staff in 2019 across the world, located in Brazil, India, Morocco, USA, UK, Spain (3) and Myanmar (8). Very sadly, one of our Myanmar team, Thura Myint Lwin, passed away in 2019 following a long illness.

#### **Other Contracts**

A further 19 people were contracted for specific work in the course of 2019, including in Myanmar, Indonesia, Bolivia, Chile, Argentina, Denmark, Belgium, Netherlands, Spain, UK, and Bulgaria.

A total of 74 people were contracted to work for TNI in various capacities and under various conditions in 2019.

#### **Staffing of Fiscally Sponsored Projects**

In addition, TNI serves as the fiscal sponsor for four projects, for which staff are also contracted. These are:

- Seattle-to-Brussels Network (Europe), with the coordinator on TNI's payroll
- Handel Anders Network (Netherlands), with the coordinator employed on a freelance contract
- Drug Policy Alternatives Group (Myanmar), with the coordinator and an assistant employed on freelance contracts
- Food Sovereignty in the MENA region (Tunisia), with four researchers on freelance contract

#### **Social Report**

TNI strives to establish and uphold ethical standards within its organization. Work began in 2019 on reviewing and updating TNI's Integrity Policies, which includes a longstanding policy on sexual harassment. New policies under development include a Whistle-Blower Policy and a Code of Conduct. These will be finalized in early 2020.

No inappropriate behaviour, misconduct or any other breaches of TNI's integrity policies were reported in 2019. No complaints were received on the basis of the Complaints Policy published on the TNI website.

#### **Socially-conscious and Green Office**

TNI owns its office building, originally built in the 19th century as a school. It is zoned for cultural and educational purposes by the City Council.

TNI strives to attract tenants which share TNI's vision and values. In 2019, it housed:

- a childcare centre
- a meditation centre
- four non-profit organisations (concerned with human rights law, consumer rights in respect of food, the right to food, a support organisation for animation filmmakers
- a Dutch streetwear company associated with the hiphop scene of Amsterdam

One of the non-profit human rights law organizations vacated their office in early 2019. An existing tenant is interested to expand and discussions are underway about a reshuffle of office spaces without having to seek new tenants. TNI did, however, suffer a loss on expected rental income in 2019.

TNI is committed to upholding environmental standards and contributing to a just transition to more sustainable economies. We strive to do this in our conduct as an organisation as well as in seeking the structural change we believe necessary through our programmes.

Up to the end of 2019, solar panels installed on the roof of our building in mid-2016 have enabled us to save 22 tons of CO2 and have generated 31,362 KwH of solar energy, providing the anticipated third of our electricity needs annually. The balance is sourced from wind energy supplied by local farmers. In 2019, we replaced all lighting with LED, following analysis that lighting is the single biggest contributor to our electricity consumption. Having insulated the back side of the building some years ago, in 2019 we also insulated windows on the front of the building which effort we hope will show a reduction in gas consumption in 2020.

#### **Fair and Green Procurement**

TNI follows its Procurement Policy, which privileges small suppliers that share our values, with this being the primary criterion used in procuring supplies and (technical) services. This includes demonstrable commitments to ecological sustainability, fair trade practices and cooperative ownership structures.

Some examples of how TNI puts its values into practice in this regard, include:

- a worker-owned cooperative is contracted for cleaning services
- an informal network of artisans and small companies with strong affinity for TNI's values are contracted for building maintenance
- contracting auditing, salary and financial administration companies specifically dedicated to serving the non-profit sector
- using a non-profit travel agency dedicated specifically to supporting humanitarian organisations. We have also invested in improving our video conferencing facilities to help minimise the necessity for travel, and encouraging staff wherever possible to travel by train rather than fly or drive. None of our staff uses a car to travel to work.
- using only recycled or FSC-certified paper for printing, printing the minimum required, and always double-sided
- buying tea and coffee supplies from a fair trade company which TNI has supported over many decades, and buying other organic and fairly produced products as far as possible
- separating our waste (paper, glass, chemical, plastic, batteries, ink cartridges) for responsible recycling purposes

There were no procurements over Euro 30.000 in 2019 that would require tender processes.

#### Income

As a non-profit organization TNI is primarily (94%) dependent on grant income. The largest proportion of TNI funding continued to be that received from the Dutch Ministry of Trade and Development, representing nearly half of TNI's income. The dependence ratio is relatively low for those which receive funding from this source, and well below the ceiling of 75% set by the Ministry. TNI has made major efforts to ensure its funding base is as diverse as possible to spread the risk should one of the major grants not be renewed.

In 2019, we booked some success in increasing foundation support for TNI by 3% on the previous year, now accounting for 21% of TNI's funding base. We have also sought to increase 'own income' through community-building efforts since 2018. We saw a 108% increase in monies raised through these efforts in 2019, as compared to the previous year. TNI also increased the proportion of income coming from European Union grants by 2%, winning three new grants in 2019.

Specification	% of total income in 2018	% of total income in 2018	% of total income in 2019
Dutch government	Netherlands Ministry of Trade & Development	48%	49%
Other public sources	Swedish International Devel- opment Agency; Swiss Devel- opment Cooperation; GIZ; Irish Research Council	25%	19%
Philanthropic foun- dations	Foundation Open Society Insti- tute (New York & Switzerland); Foundation Open Society Policy Centre; Open Society Initiative for Europe; Open Society Initiative for Southern Africa; Funders for Fair Trade; Rockefeller Bros Fund; Schöpf- lin Stiftung	18%	21%
European Union	Development Education and Awareness-Raising; Justice and Home Affairs; Erasmus; H2020	5%	7%
Own means	Rental, book sales, donations, currency gains, interest	3%	3%
Other income	Sub-contracts	1%	1%

For tax purposes, TNI operates with fiscal number 0034.74.082. It pays taxes on salaries. TNI is not considered an enterprise and as such is exempt from VAT. TNI is acknowledged by the Dutch tax authorities as an organization that works for the general interest (ANBI: Algemeen Nut Beogende Instelling). This allows those who make donations to TNI to qualify for tax rebates within the European Union.

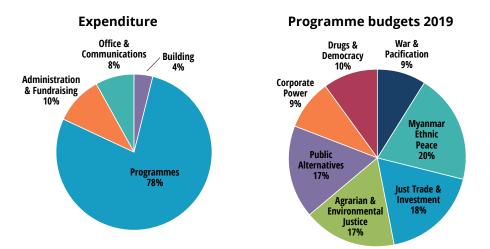
TNI has long been formally recognized as holding the equivalent of 501 (c) status in the USA, which status is reconfirmed annually with a certificate from NGOSource which may be used by those funders which are members of NGOSource.

In 2019, TNI sought the advice of a fiscalist on whether it would be considered liable for corporate tax on the basis of the surpluses it has generated annually. The conclusion of the fiscalist, subsequently confirmed by the Dutch tax authorities, is that TNI legitimately earns these modest surpluses, relative to total budget, which are not considered profit and are not liable to corporate tax. The sources of these surpluses include donations, contributions to jointly organized activities, book sales, renting of office space, and eventual settlement of longstanding debts owed TNI that had been written off.

#### **Expenditure 2019**

TNI spent 78% of its income in 2019 on programme implementation,10% on administration and fundraising, and 12% on infrastructure (office and communications, building).

TNI raises grants for projects developed under our seven programme areas. Myanmar is our largest and only country-focused programme. It is able to draw on the expertise of many of the other programmes. Indeed, there is a lot of collaboration across TNI programmes as many of the issues covered are inter-connected. The programmatic divisions correlate with co-ordination structures and distinguish main umbrella fields.



#### **Financial result 2019**

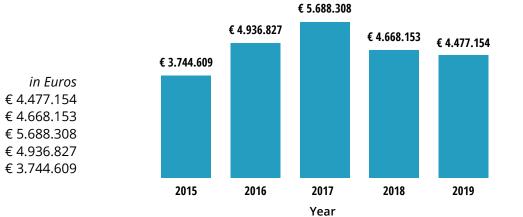
The result in 2019 was a modest Euro 649 once funds raised through crowdfunding for a campaign were deducted for reservation in a restricted fund. TNI's continuity reserves, at the end of 2019, stood at Euro 1.174.321. A major goal set by the Supervisory Board has been to increase TNI's survival ratio (reserves/fixed costs x 365) to at least 180 days or 6 months. By the end of 2019, this was achieved – with the survival ratio standing at 225 days.

The ratio of current assets to short-term liabilities remains healthy at 153% (compared to 140% in 2018).

# FINANCES

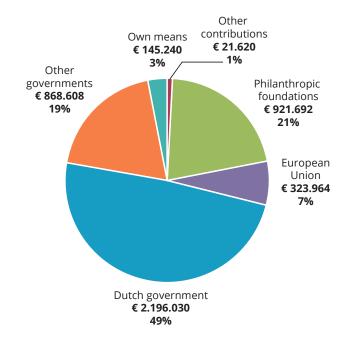
TNI is committed to the principles of independence, transparency, and accountability. TNI's financial reporting was rated 5 stars for 'excellence' by the Transparify initiative. *Source: TNI Audited Financial Accounts 2019* 

## **FINANCES**



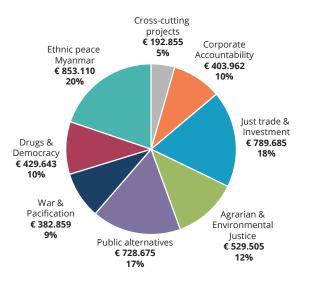
INCOME OVER THE LAST 5 YEARS	in Eu
2019	€ 4.477.1
2018	€ 4.668.1
2017	€ 5.688.3
2016	€ 4.936.8
2015	€ 3.744.6

	. <b>F</b>	
WHERE WE GOT OUR MONEY	in Euros	percentage
Dutch government	€ 2.196.030	49%
Other governments	€ 868.608	19%
Philanthropic foundations	€ 921.692	21%
European Union	€ 323.964	7%
Own means	€ 145.240	3%
Other income	€ 21.620	1%

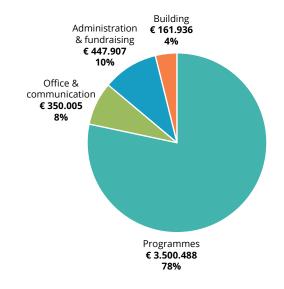


## **FINANCES**

WHAT PROJECTS OUR MONEY IS SPENT ON Ethnic Peace Myanmar Just Trade & Investment Public Sector Alternatives Agrarian & Environmental Justice Drugs & Democracy Corporate Accountability	<ul> <li>€ 853.110</li> <li>€ 789.685</li> <li>€ 728.675</li> <li>€ 529.505</li> <li>€ 429.643</li> <li>€ 403.962</li> </ul>	20% 18% 17% 12% 10% 10%
Drugs & Democracy		



HOW WE SPEND OUR MONEY	in Euros	percentage
Programme Activities	€ 3.500.488	78%
Salaries (administrations & fundraising)	€ 447.907	10%
Office & Communications	€ 350.005	8%
Building	€ 161.936	4%
TOTAL	€ 4.460.336	100%



## **Statement of income and expenditure in budget 2020**

FI	NA	NC	ES

	Budget 2020 €	Budget 2019 €
Income		
Grants	4.393.672	4.630.769
Donations and contributions	0	0
Exchange losses/gains	0	0
Interest	0	2.000
Other	0	6.876
Total income	4.393.672	4.639.645
Expenditure		
Overhead		
- Personnel costs	433.577	432.122
- Building expenses	23.608	49.870
- Office and communication	304.390	292.760
Total overhead	761.575	774.752
Project costs		
- Direct project costs	2.562.983	2.810.026
- Personnel costs charged to projects	1.069.114	1.014.401
Total project costs	3.632.097	3.824.427
Total expenditure	4.393.672	4.599.179
Balance	0	40.466
Result	0	40.466

## **Explanation of 2020 Budget**

## **FINANCES**

The 2020 budget was discussed with the Audit Committee of the Supervisory Board on 21 November 2019, and formally approved at the Supervisory Board meeting of 6 January 2020.

Budgeted income is based on secured contracts accounting for 92% of the budget. The balance is expected to come from pending funding applications and/or additional fundraising undertaken in the course of 2020. Any funds raised through individual donors are to be reserved for the continuity fund as securing the 2021 budget would depend on the outcome of a renewal of a grant from a major donor, currently accounting for around half TNI's total budget.

Overhead costs were budgeted at 17.33% of the total budget. These are divided into three categories:

- 1. Personnel This accounts for 9.87% of total expenditure and concerns the costs of staff time not spent directly on project implementation (eg. Fundraising). It also includes a budget for training, interns and volunteers, and commuting costs of staff members who do not live in Amsterdam where TNI offices are located. TNI expects one staff member to go on maternity leave in 2020. No new employment was envisaged. The budget excluded the cost of salary increases due in July 2020 in line with the industrial wage agreement for the welfare and care sector, which TNI follows. The increase would only be implemented should the income budget be exceeded such that it is sufficient to cover this cost, and subject to approval of a revised budget by the Supervisory Board.
- 2. Building This accounts for 0.54% of total expenditure. The figure reflects the balance of total costs of the building less rent and contributions to service costs received from tenants. Breakdown costs include depreciation; the mortgage; annual provision for major maintenance; cleaning and minor maintenance; utility costs; insurance, taxes and land lease. Minor maintenance costs were lower than previous years due to the revised maintenance plan. Rental income was budgeted higher than the previous

year due to an increase to be effected in May 2020. A major maintenance plan up to 2035 is drawn up by the qualified constructor who advises and supervises building upkeep, and who revised the plan on 21 May 2019. Major maintenance planned for 2020 included replacement of aged boilers, painting of the external front woodwork and maintenance of masonry and joints.

**3.** Office and Communication – This accounts for 6.93% of total expenditure. Additional costs planned for in 2020 include investments in the upgrade of the website and in fundraising. Breakdown costs include office equipment, stationery, and other supplies; communication infrastructure such as the network, software, website, telephone and mailing; audit, financial and salary administration, bank charges; board meetings, fundraising and other organizational costs.

Project costs constitute 83% of the total budget, and are based on budgets agreed with funders. Personnel costs, on average, amount to 29% of total project costs. This is considered by TNI's funders to be an appropriate proportion, particularly given that TNI is primarily a research and advocacy institute. The balance is spent on the direct costs of project implementation.

Of the project contracts running in 2019, thirteen ended in 2019 (see Results). Most of the remaining projects end in 2020. The project goals or specific deliverables to be achieved are laid down in contracts with funders (See Funding agreements Active in 2019).

TNI's Planning, Monitoring and Evaluation system helps us monitor whether a project is on track to achieve its goals, including quarterly budget monitoring, ongoing assessments of contextual changes so that strategies can be adjust where necessary, and annual outcome harvests.

#### **OVERVIEW OF GRANT CONTRACTS 2019\***

PROJECT NAME	GOALS	TNI ROLE	DURATION	CURRENCY	<b>TOTAL GRANT**</b>	SOURCE	CONTRACT NO.
Alternative Development Myanmar	Enable an exchange on best practices, lessons learned and knowledge between government officials, smallholder farmers, and experts from local and international organisation; support appropriate application of the National Drug Control Strategy at local and regional levels; ensure lessons from Colombia are learned.	Sole	1/11/19-31/3/20	Euro	38,039.91	Deutsche Gesellschaft für Internationale Zusammenarbeit	81247430
Global Partnership on Drug Policies and Development Phase II	Promote drug policies aligned with human rights compliance, harm reduction, sustainable development and peace-building.	Sole	1/9/19-30/11/20	Euro	99,998.78	Deutsche Gesellschaft für Internationale Zusammenarbeit	81245195
10th Informal Drug Policy Dialogue in Asia	Organise 10th Asian policy dialogue and workshop on alternative development, Myanmar	Sole	10/9/18-28/2/19	Euro	40,000.00	Deutsche Gesellschaft für Internationale Zusammenarbeit	81229883
Asia-Europe Peoples Forum	Build the Asia-Europe People's Forum for a more inclusive voice, influence and access	Partner	21/12/17- 20/12/20	Euro	109,476.00	EU Devco via 11.11.11	CSO- LA-2017/387-716
Landstrat	Collaborative learning about innovative land strategies among small agro-ecological farmers in Europe	Partner	1/9/18-31/8/20	Euro	57,698.00	EU Erasmus via Terre de Liens	2018-1-FR01- KA204-048212
Deck to Dish	Increased awareness of Community-Supported Fisheries initiatives in Europe, new alliances established, and increased capacity of fishing communities to engage decision-makers.	Partner	1/9/19-31/12/21	Euro	40,125.00	EU Erasmus via Urgenci	2019-1-FR01- KA204-062955
Combating the Structural Drivers of Anti-Muslim Hatred and Intolerance: Tools and Practices for Mobilising (CoSDAMHI)	(i) Raise awareness of the structural drivers that normalise anti-Muslim hatred and intolerance (AMHI) and mobilise support for human rights-based alternatives; (ii) Provide new analysis on the impact of policies, laws, political discourse and media (structural drivers) in normalising AMHI, to aid national and EU authorities to adopt human rights- based best practices; (iii) Strengthen civil society's capacity to mobilise for human rights- based alternatives through deepened civil society networks and increased cooperation with Muslim communities; (iv) Increase the general population's awareness of, and resilience to, structural drivers through accessible information and platforms for policy engagement	Lead organisation - 6 partners	1/11/18-31/10/20	Euro	538,741.00	EU General Justice & Consumers	809440
New Approaches to Harm Reduction Policies & Practices	Expand the knowledge base about drug use patterns and innovative harm reduction policies and practices in respect of three problems among public authorities and professionals and civil society stakeholders in Europe: A) the increased use of plant-based NPS without the requisite knowledge to reduce the risk of harm; B) a persistent illicit cannabis market for the still most used drug in the EU; and the impact on the effectiveness of drug and (C) policies of austerity measures affecting health and social services, particularly in Southern Europe.	Lead organisation + 3 partners	1/1/17-31/3/19	Euro	373,725.66	EU Migration & Home Affairs	HOME/2015/JDRU/ AG/DRUG/8860
mPower	In-depth, wide-scale and systematic peer-to-peer learning programme among at least 100 local public authorities with a view to replicate innovative best practice in municipal energy.	Partner	1/5/18-1/5/22	Euro	255,750.00	EU Research H2020 via University of Glasgow	785171
Humanitarian migration policy	Promote a more humanitarian approach to migration and refugee policies and border management in Europe.	Sole	1/4/19-31/3/20	US\$	99,600.00	Foundation for the Promotion of Open Societies	OSI2019-53111
Alternative development	To map prospects for think tank on alternative development questions for the MENA region	Sole	1/10/17 - 30/6/20	US\$	40,000.00	Foundation for the Promotion of Open Societies	OR2017-38338
Lobbywatch.nl	Improved regulation and transparency of lobbying in the Netherlands.	partner	1/7/17-1/7/19	Euro	20,553.00	Foundation for the Promotion of Open Societies via SOMO	SOMO-10542
Food Sovereignty (MENA)	Research and advocacy on food sovereignty in Morocco and Tunisia: interrogate the policy and practice of food and agricultural modernization in North Africa, taking Tunisia and Morocco as case studies	Fiscal sponsor for OSAE in Tunisia	1/10/17-30/9/19	US\$	100,000.00	Foundation for the Promotion of Open Societies	OR2017-37735
TTIP/CETA Alarm (Dutch campaign)	Support development of progressive reform of investment protection in treaties	Sole	1/9/17-31/1/19	Euro	70,000.00	Funders for Fair Trade	none
Investment regime	Advocate for reform of internationalinvestment agreements.	Lead organisation + 7 partners	1/11/18-31/12/19	Euro	150,000.00	Funders for Fair Trade	none

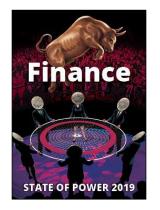
No VIP Rechten voor Multinationals	Improve convergence between the campaigns against ISDS and for a binding treaty on transnational corporations and human rights (12 members in the Netherlands)	Fiscal sponsor for Dutch campaign	1/1/19-31/12/19	Euro	20,000.00	Both Ends (for FGG joint work)	none
Fair, Green and Global	Ensure improved corporate conduct advances social justice, decent work and environmental sustainability; trade and investment advances socially just, inclusive and environmentally sustainable development; and financial systems, tax regimes and public financing practices advance socially just, inclusive and environmentally sustainable development.	Partner	1/1/16 - 31/12/20	Euro	10,586,519.00	Netherlands Ministry of Foreign Affairs via Both Ends	27534
Alternative Security	Develop proposal and advocacy for alternative security in respect of terrorism.	Sole	1/6/18-31/5/20	US\$	120,000.00	Open Society Institute Budapest Fund	OR2018-43186
Drugs and Democracy	Support evidence-based drug policy making	Sole	1/11/18-31/1/20	US\$	285,000.00	Open Society Policy Center	OSI2018-46493
Drugs Policy Advocacy Group (DPAG) III	Promote sustainable drug policies in Myanmar based on public health, human rights and development.	Sole	1/8/18-31/7/20	US\$	115,000.00	Open Society Policy Center	OR2018-44438
Energy Charter	To produce report on Energy Charter	Sole	21/5/18-21/5/19	US\$	100,000.00	Rockefeller Bros Fund	18179
Reform of investment agreements	To produce analysis, communications and monitoring of the evolution of international investment agreements, and to engage networks of public interest & environmental organisations to understand and advocate for reform of international investment agreements	Lead organisation + 7 partners	1/7/19 - 31/7/21	US\$	300,000.00	Rockefeller Bros Fund	19-45
Investment regime	To produce analysis, communications, and monitoring of the evolution of internationalinvestment agreements; engage networks of public interest and environmentalorganizations to understand and advocate for reform of internationalinvestment agreements.	Lead organisation + 7 partners	29/11/17- 29/11/19	US\$	50,000.00	Rockefeller Bros Fund	17331
Investment regime	To produce analysis, communications, and monitoring of the evolution of international investment agreements; engage networks of public interest and environmental organizations to understand and advocate for reform of international investment agreements.	Lead organisation + 7 partners	1/4/18-28/3/19	Euro	100,000.00	Schoepflin Found	2018-33
COSDAHMI	Co-financing for EU-funded COSDAHMI project	Lead + 1 partner	29/3/19-31/10/20	Euro	41,591.00	Stichting Media & Democracie	2019155
Supporting Civil Society in Myanmar to Promote Inclusive Political Processes and Address Ethnic Conflict	To support Civil Society in Myanmar to Promote Inclusive Political Processes and Address Ethnic Conflict	Sole	1//6/17-31/5/21	Euro	1,329,875.00	Swiss Development Cooperation	81050388
Supporting Civil Society in Myanmar to Promote Inclusive Political Processes and Address Ethnic Conflict	To support Civil Society in Myanmar to Promote Inclusive Political Processes and Address Ethnic Conflict and to make the current peace process more inclusive	Sole	1/9/17 - 31/12/20	Swedish Kronen	2,000,000.00	Swedish International Development Agency	20171030

Notes: \*This list does not include contracts for specific deliverables or contributions from allies for joint campaigns \*\*Where TNI is the lead organisation for a consortium the grant reflects that for the consortium as a whole.

#### **CONTRACTS for specific deliverables**

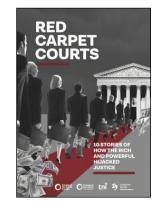
PROJECT NAME	GOALS	TNI ROLE	DURATION	CURRENCY	TOTAL GRANT**	SOURCE	CONTRACT NO.
Global Commission on Drugs & Democracy	Paper on human rights guidelines and drugs	Deliverable	2019	Euro	.,	Global Commission on Drugs & Democracy	N/A
Asia-Europe Peoples Forum	Event on Transnational Corporations and Human Rights at UNHRC in Geneva	Deliverable	23//9/19- 20/10/19	Euro	10,000.00	11.11.11.	N/A
Global Remunicipalisation and the Post-Neoliberal Turn	Develop typology of remunicipalisation	Deliverable	1/1/19-30/6/20	Euro	5,000.00	University of Glasgow	N/A
Caroline fellowship	Non-academic mentor for post-doc scholar.	Deliverable	1/10/17-30/9/20	Euro	23,674.40	Dublin University	201745
Emancipatory Rural Politics Initiative	4 x exchange visit reports, radio programmes, videos, briefing papers, commissioned papers; and 10-12 published journal articles.	Deliverable	6/9/18-30/6/19	US\$	30,000.00	PLAAS, University of Western Cape, South Africa	EC0200046PL00012018

## **NOTABLE PUBLICATIONS IN 2019**

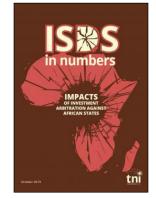


A Mature of Obvious Recom- and Dubious Methods and C	ritical Review of Cannabis mendations Deserving Support Succomes Requiring Scrutiny
	VOLA), Transnotionel Institute (TNI), and ry (GDPO-Sworsen University)"
The World Haalth Departmenters (WHO)	recommendations have many special
Expert Committee on Drug Departdence	processories regarding levels of control for
BCDD or Expert Committeel Intensed in	different types of modeal carvais
January 2019 the extremes of the fest-	preparations. The patential repercussions of
over critical review of careados,	those more questionable expects of the
recommending a series of changes in the	DEDD recommendations tripper legitimum
current scheduling of careados-rolated	concerns that metit a class meanination to
substances under the UN drug control ponyertions <sup>1</sup> Eagerly available the ECOD recommendations control some clearly	governments and by club society. These concerns are heightened proceed
positive points, such as acknowledging the	because the recommendations result from
restored, socialisms of carvaits by	the first-over WHO critical review of
restored socialisms of carvaits by	convolts. Allowing the participation patients
Single Convertion on Nancolics Drugs,	of the recommendations to recape scrutin-
don'tying that consubidint ICBCC in net under	risks rest only accepting distance schedulin-
international context, and addressing some	recommendations room, but also risk
long-transfing scheduling inconsistencies.	acquirecting to problematic underlyin-
But the ECDD recommendations also reveal problematic underfaints availables methods	exclusion methods and procedure Governments should seriously consider their options for challenging these append
and scheduling procedures along with a very	of the resion, since their acceptance non-
genetic-velile relievable for langing cannolos	coold out a damaging precedent for the
en Schedule I Monover, dar	future.
	and Johns Theories Deep & Demotracy



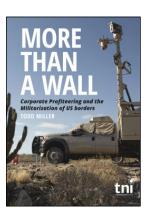


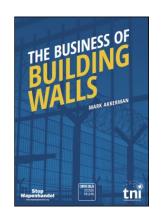
















# PEOPLE

## **TNI STAFF IN 2019**

#### **MANAGEMENT TEAM**

- Fiona Dove (Executive Director)
- Pietje Vervest & Ernestien Jensema (Programmes)
- Denis Burke (Communications)
- John Kerseboom (Personnel)

#### COMMUNICATIONS

- Bea Martinez (Spanish translator)
- Denis Burke (Coordinator)
- Hilde van der Pas (Dutch press liaison/social media)
- Jorrit Stoker (Webmaster)
- Shaun Matsheza (Podcasts/writer)

#### **COMMUNITY-BUILDERS**

- Jess Graham (Fundraiser)
- Nick Buxton (Think Tank Coordinator)
- Niels Jongerius (Netherlands)

#### **OFFICE & ADMINISTRATION**

- Albi Jannssen (Network engineer)
- John Kerseboom (Personnel)
- Katja Gertman (Bookkeeping Assistant)
- Misrak Alayu (Front Office)
- Sasha Trifkovich (Information manager)
- Stephanie Olinga-Shannon (Evaluation)
- Susan Medeiros (Front Office)

#### **VOLUNTEERS**

- Mustaffa Habashi (Handyman)
- Santiago Martinez Rivera (Drugs researcher)

#### **INTERNS**

- Ella McLaughlin (USA)
- Emma Willems (Netherlands)
- Inés McKechnie Charle (Spain)
- · Li Zhiyuan (China)
- Lukas Toedte (Germany)
- Nicolay Penchev (Bulgaria)
- Sophie Rogers (UK)
- Yue Wang (China)

#### **VISITING SCHOLAR**

· Siobhan Airey (Ireland)

Transnational Institute 2019 annual report

#### **PROGRAMME DIRECTORS**

- Martin Jelsma (Drugs & Democracy)
- Pietje Vervest (Economic Justice)

#### **PROGRAMME COORDINATORS**

- Brid Brennan (Corporate Power)
- Cecilia Olivet (Trade & Investment)
- Ernestien Jensema (Drugs & Democracy)
- Lyda Fernanda Torres Forero (Agrarian & Environmental Justice)
- Niamh Ni Bhriain (War & Pacification)
- Satoko Kishimoto (Public Alternatives)
- Tom Kramer (Myanmar Ethnic Peace)

#### **PROGRAMME STAFF**

- Benny Kuruvilla (India)
- Dania Putri (Morocco)
- Daniel Chavez (Netherlands)
- Gonzalo Berrón (Brazil)
- Hamza Hamouchene (UK)
- Katie Sandwell (Netherlands)
- Lavinia Steinfort (Netherlands)
- Lucía Barcéna (Spain)
- · Melissa Koutouzis (Netherlands)
- Monica Vargas (Spain)
- Pien Metaal (Netherlands)
- Sol Trumbo Vila (Netherlands)
- Sylvia Kay (Netherlands)
- Tom Blickman (Netherlands)

#### **MYANMAR-BASED STAFF**

- Do Ra
- Mi Kamoon
- Naw Julie Aye
- Naw Ler Wah Shee
- Phwe Phyu
- Renaud Cachia
- Thura Myint Lwin

#### **CONTRACTED RESEARCHERS**

- Alberto Fradejas
- Alexander Panez Pinto
- Andy Rutherford
- Bas Coenegracht
- Bettina Müller
- Carsten Pederson
- Jenny Franco
- Luciana Ghiotto
- Marcela d'Olivera
- Mariana Gonçalves Villafranca
- Maria-Sol Reindl
- Martin Smith
- Mary Lou Malig
- Min Myo Min OO Sawormon
- Myint Zaw
- Nila Ardhianie
- Tom Decorte
- Zdravka Dimitrova
- Zoe Brent

#### ASSOCIATES

- Boris Kagarlitsky (Russia)
- David Bewley-Taylor (UK)
- David Sogge (Netherlands)
- Dot Keet (Zimbabwe)
- Francesco Martone (Italy)
- Harris Gleckman (USA)

Howard Wachtel (USA)

• John Cavanagh (USA)

Marcos Arruda (Brazil)

• Kamil Mahdi (Iraq)

• Peter Weiss (USA)

Tom Reifer (USA)

Jochen Hippler (Germany)

Joel Rocamora (Philippines)

Kees Biekart (Netherlands)

Mariano Aguirre (Colombia)

Ricardo Vargas (Colombia)

Roger van Zwanenburg (UK)

Sebastián Torres (Uruguay)

Walden Bello (Philippines)

Susan George – President

**PRESIDENT & SUPERVISORY BOARD** 

Ruth Kronenburg – Treasurer

Frenk van Enckevort – Secretary

Gisela Dütting –Outgoing Chairperson

• Pauline Tiffen – Incoming Chairperson

53

Myriam Vander Stichele (Netherlands)

Manuel Pérez-Rocha (Mexico)

• James Early (USA)

• Hermann von Hatzfeldt (Germany)

#### **Achin Vanaik**

Retired Professor of International Relations and Global Politics, Jawaharlal Nehru University, New Delhi, Inida

Achin has dedicated much of his intellectual and activist life to combatting communalism in India. He has been warning of the fascist roots of the Hindutva project for decades, which are now manifest under the increasingly authoritarian Modi regime.

In 2019, Achin was active in condemning attempts to criminalise prominent cultural celebrities for denouncing the government's support for mob lynching, silencing of people's voices and misuse of courts to harass citizens. In an essay in a book celebrating the scholarship of historian Romila Thapar, Achin voiced concerns about knowledge production, democracy, Hindutva and rise of public anti-intellectuals. Achin also wrote the cover story for *Caravan Magazine's* May edition on the democratic weaknesses and Hindu biases of the Indian Constitution, as well as an article in *The Wire* on Modi's Hindu Rashtra project, which is attempting to redefine citizenship on exclusivist religious grounds, in much the same way Netanyahu is trying to do in Israel. In August, he called on progressive Indians to oppose governmental violence against Kashmiris in his essay, 'Hindutva on the March', for Jacobin magazine. Towards the end of the year, Achin finished his latest book, Nationalist Dangers, Secular Failings: A Compass for an Indian Left (forthcoming Aakar Books, 2020), which follows closely on his previous book The Rise of Hindu Authoritarianism: Secular Claims, Communal Realities (Verso, 2017). Achin spoke on the subject of nationalist authoritarianism, and related subjects, at OP Junkdal Global University at Sonepat, Haryana as well at Ambedkar University in Delhi in the course of the year, as well as at a forum organised by The Alliance for a Secular and Democratic South Asia, Coalition for a Democratic India, MIT-India, and at an Asia-Europe People's Forum (AEPF) meeting in Malaysia.

Following participation in an international strategic seminar organised by TNI in Soesterberg in June 2019, Achin went on to join the first AEPF working group meeting on China's Belt and Road Initiative (BRI), convened at TNI, helping to develop a framework to guide the bi-regional forum on dealing with BRI projects.

Achin continues to be active in the Coalition for Nuclear Disarmament and Peace (CNDP), where his work particularly focuses on getting young people to joinnuclear advocacy. He is also active in the Indian Campaign for the Academic and Cultural Boycott of Israel (INCACBI), which works to pressure Israel to comply with international law. He is also a founder of the Society for Marxist Studies (SMS) in India, which continues to hold twoweek residential summer and winter schools for post-graduate students and social activists from across India.

Read more about Achin here.

#### **Ben Hayes**

#### Independent researcher and consultant on security policies and civil liberties

Ben is a long time civil liberties activist working on human rights, democracy and security in the digital age. He has helped expose much of the cynical disregard for human rights in European security policy and practice, proving a valuable resource for many activist organisations in Europe and further afield as these policies get exported world-wide.

In 2019, he contributed to *Leaving the War on Terror*, published as the output of a TNI project which seeks to provide an alternative security framework that moves beyond the War on Terror frame and the so-called prevention strategies predicated on intrusive surveillance and undercover operations targeting Muslim communities. These ideas were tested by Ben and another participant in the TNI project at a panel on democracy and security organised by the online media platform <u>Open Democracy</u> at the <u>Imagine Belfast Festival</u>. The report was later launched at an event in the UK House of Commons, and Ben presented it at a colloquium of the University of Minnesota Law School at the invitation of the UN Special Rapporteur on Counter-terrorism and Human Rights.

Ben represented TNI in the opening panel of the Gibraltar Garrison Library's symposium on Borders and Bordering in the 21<sup>st</sup> Century. He spoke to Fortress Europe, a focus of another TNI project, in which Ben is providing insights on data ethics in respect of migrants and refugees, and the use of artificial intelligence in border controls. He also represented TNI at the annual conference of Computers, Privacy and Data Protection (CPDP), where he moderated a <u>session on facial recognition</u>, later hooking up with artists at <u>Privacy Salon</u> to talk about 'hacking' the system. On the strength of earlier work with TNI on "shrinking space" for social movements, Ben was invited to collaborate with the <u>Funders Initiative on</u> <u>Civic Space</u> in developing an analysis of the main drivers of the tendency in the context of digital transformation, climate change and intersectional crises. He co-authored a report with the Director, drawing on much of TNI's analysis. A draft was presented to the 40 philanthropic foundations involved with the initiative. The report is due to be published by FICS in early 2020. Ben will continue to collaborate on the next phase, which concerns strategic directions for FICS members.

Meanwhile, Ben kicked off a new project with human rights and social justice organisations concerning the killing of Dag Hammarskjöld and state failure to cooperate with the UN inquiry. He continues to work for the UN High Commission for Refugees, and in identifying legal cases that might be interesting for the purposes of strategic jurisprudence. And he is busy preparing to turn his data protection consultancy into the UK's first data rights agency, working with lawyers involved in the litigation against Cambridge Analytica and the UK intelligence services post-Snowden.

Read more about Ben <u>here</u>.

#### **Daniel Chavez**

#### Researcher

Daniel is an activist-scholar who cut his teeth in the housing cooperative movement in Uruguay. He works closely with trade unions around questions of privatisation and democratisation of public companies, and is a keen analyst of Latin American politics.

Daniel is the only TNI staff member who also serves as a Fellow. In 2019, Daniel continued with his comparative international research on public energy utilities. This included a major study, undertaken with a team of economists from the Comuna research cooperative, on Uruguay's energy transition, from which he has distilled lessons for other countries. Following participation in an international workshop on public ownership of energy organized by CIDOB, a Barcelona-based progressive think tank, Daniel also wrote <u>a chapter</u> on the energy transition, public ownership and the new municipalism in Europe for a CIDOB published book which analyses new models of urban development around the world.

These, and other past studies by Daniel, are feeding into a project in South Africa that he is leading for TNI. The project is aimed at supporting South African progressives with the crisis of their national public utility, Eskom. Daniel participated in workshops held with trade unions and environmentalists in South Africa figuring out how to deal with the threat of privatisation of the ailing national energy company, while also steering the utility towards leading a just transition away from reliance on fossil fuels rather than allowing short term speculation by investors in a privatised renewables market they are bound to abandon when profits inevitably start falling. Daniel also continued to work with a union in Calí in Colombia that has been battling privatisation of telecommunications. This finally succeeded in 2019, and the company is now pursuing a public-public partnership with a successful counterpart company in Uruguay thanks to Daniel being able to link them up.

Returning to his roots in Uruguay and Latin America, Daniel teamed up with the Latin American Council of Social Sciences (CLACSO) and the Foundation for Latin American Integration (FILA) to organise a <u>conference</u> in Uruguay's Chamber of Senators to discuss the economic and political challenges facing Latin America. The conference was very well attended by social movements and political activists, as well as political representatives, state and government officials. Daniel subsequently produced an excellent <u>long</u> <u>read</u> for TNI on the eve of the Uruguayan election, and represented TNI in a public debate in Amsterdam the left and the crisis in Venezuela. TNI also produced an interview with him on <u>The Commons, the State and the Public</u> from a Latin American perspective. Daniel spent much of the year working on a major conference on the theme of 'Democratic Socialism in Global Perspective', which is scheduled to take place in May 2020 at the Havens Wright Center for Social Justice in Madison, Wisconsin.

Read more about Daniel here.

## **2019 FELLOWS**

#### **David Fig**

#### Independent researcher and consultant

A TNI Fellow since 2012, David holds a PhD from the London School of Economics. He works as an environmental sociologist and political economist and has been a lifelong critic of the nuclear energy industry. Recent victories in which he participated were the South African court case which made illegal President Zuma<sup>1</sup>s nuclear ambitions, and the briefing of the Vietnamese congressional committee on science, which led to Vietnam abandoning its reactor orders with Russia and Japan. He recently worked with a coalition of public health practitioners to bring about a sugar tax in South Africa.

David chairs the board of <u>Biowatch</u> South Africa, which he co-founded in 1997 and which is concerned with food sovereignty, agro-ecology and agrarian justice. Biowatch challenged the South African government and the Monsanto corporation in a legal process which took nine years, resulting in a landmark victory in the Constitutional Court.

He is active in a number of energy related struggles, including <u>Earthlife</u> <u>Africa</u>, the Pushing Back Coal and Pushing for Renewable Energy coalition, and the <u>Energy Governance Initiative-South Africa</u> David is also a member of the <u>Global Working Group Beyond Development</u> working towards systemic emancipatory transformations.

He is an honorary research associate of the Society, Work and Politics Institute (SWOP) at Wits University, and of the <u>Chair in Bio-Economy</u> at the University of Cape Town. He has taught at UCT and Wits Universities, and engaged in training programmes in a number of Southern African countries. He has consulted widely for NGOS, think tanks and universities. His publications include books, monographs, book chapters and journal articles, some of which have appeared in French, Portuguese, Spanish and German.

Read more about David <u>here</u>.

#### **Edgardo Lander**

Professor Emeritus, Central University of Venezuela, Professor at the Universidad Indígena de Venezuela and at the Universidad Andina Simón Bolívar in Quito

Edgardo is a dedicated activist intellectual and eco-socialist working to promote de-growth, decoloniality and systemic change across the South, and particularly in Venezuela. He has long been a strong critic of the oildependent development path that has opened territories to destructive transnational mining companies.

Edgardo has been active in the struggle against the Orinoco Mining Arch, covering large bio-diverse territories of several indigenous peoples, where all the country's main rivers are located. A government project, the territories have been opened up to transnational corporations for large scale open-pit mining in violation of the constitution and the main environmental, labour and indigenous peoples' rights laws. In 2019, he was involved with a <u>documentary</u> – partly funded by TNI – on the massive Arco Minero of Orinoco mining project as part of a coalition of activists, indigenous communities, academics and others opposing the project.

In January 2019, an attempted coup saw many Western governments subsequently recognising the self-proclaimed President in exile and fears that a US military intervention was imminent. Since 2017, Edgardo has been a core member of the Citizen's Platform in Defense of the Constitution, comprised of disillusioned Chavistas critical of Venezuela's increasingly unpopular government. The Platform has advocated for peaceful, democratic, constitutional, electoral and negotiated alternatives to the threats of US military intervention or a civil war. It proposes a constitutionally-based consultative referendum to ask the population if they want to renew all the main state institutions: Executive, Parliament, Supreme Court and the National Electoral Council. In 2019, it was the Platform, with Edgardo as its main international ambassador, that was able to provide some sober <u>guidance</u> on a progressive response that denounces any attempts at intervention by external military forces while also being clear about the urgent <u>need</u> to <u>reclaim</u> popular sovereignty within the country if <u>civil war</u> was to be avoided. Edgardo spoke to the Venezuelan situation on public platforms across the <u>Americas</u> and Europe, including organised by <u>TNI</u>. He was also in high demand from the <u>media</u> across the world, giving countless <u>interviews</u> over the course of the tumultuous year.

Edgardo has been an active member of TNI's New Politics project, which has been concerned with learning lessons of the demise of the left in government. He produced two key publications in 2019 – a new book *Crisis civilizatoria. Experiencias de los gobiernos progresistas y debates en la izquierda latinoamericana* (forthcoming, Calas 2020) and a chapter, 'The End of Neoliberal Democracy: Inequality in post-democratic capitalist societies', published in Margaret Abraham (ed), *Sociology and Social Justice* (Sage, 2019). He also argued forcefully for concrete <u>utopias</u>, rather than abstractions.

Meanwhile, Edgardo continued to argue for a <u>decoloniality</u> in respect of power in Latin America, and to participate in the <u>Global Working Group on</u> <u>Beyond Development</u>, which is working towards systemic emancipatory transformations and also involves another TNI Fellow, David Fig.

Read more about Edgardo here.

#### **Hilary Wainwright**

#### Independent researcher and editor of Red Pepper magazine

A life-long socialist feminist, Hilary has worked for nearly four decades on the theory and practice of participatory democracy and community wealth-building. She has documented countless examples of resurgent democratic movements from Brazil to Britain and the lessons they provide for progressive politics.

In 2019, she continued to serve as on the UK Labour Party's 'Community Wealth Building Commission', an exploration of how municipalities could use public procurement powers to protect and improve local jobs, assure community well-being and strengthen democratic planning. The Commission included unions, cooperatives, community-based organisations and local governments and used the town of Preston as positive inspiration for what is possible. Hilary contributed to a longread published in The Guardian on this kind of new economic thinking emerging among progressive young economists working transatlantically. She provided input for a proposed handbook on worker and community control over public companies, drawing on research she did on public companies in Uruguay and other TNI research on similar cases. Hilary wrote an article, invoking the inspiration of the Lucas Aerospace workers of the 1970s, in support of Belfast's Harland and Wolff shipyard workers. Facing retrenchment as their industry goes bankrupt, they have been demanding a state-supported buy-out that would enable workers to put their skills to social and environmental use by converting their industry into a producer of renewable energy. Such knowledge from below being valued in public planning is very much the central subject of Hilary's latest, well-received book. She continued to give talks explaining <u>A New Politics from the Left</u>,

including at the <u>Institute of Development Studies</u> at <u>Sussex</u> University, at the <u>Socialist Theory and Movement seminar series</u> at Glasgow University, and at <u>The World Transformed</u> conference.

In the context of the ongoing Brexit debates in the UK, Hilary also did research on the successful anti-austerity programme of Portugal to demonstrate that this was possible even as a member of the European Union, which TNI <u>co-published</u> as a longread. She took the anti-Brexit position in a debate within the left run by <u>The New Internationalist magazine</u>. She also coauthored a <u>pamphlet</u> on democratic crisis of Britain and the EU prepared for the UK Labour Party, and an article on lessons <u>Syriza</u> in Greece.

While the year ended with the defeat of the Corbynism in the UK elections, Hilary played an energetic role in building a politics from below and feeding the new democratic economic thinking that has informed a new generation of activists.

Read more about Hilary <u>here</u>.

#### **Jun Borras**

#### Professor of Agrarian Studies at the International Institute for Social Studies (ISS), Erasmus University Rotterdam

Jun has been dedicated to emancipatory rural politics since his youthful formation in the struggles for agrarian justice in the Philippines in the 1980s and his pioneering role in the 1993 founding of La Vía Campesina, the international small farmers' movement with which TNI cooperates closely.

Jun has collaborated with the TNI Myanmar team and other Myanmar CSOs in designing and conducting a hugely successful, and still ongoing, activist course in political eonocomy. He has collaborated with the TNI Myanmar-based team in a research project concerning crop booms and migrant farm workers in Southeast Asia (Myanmar, Vietnam) and inside China (Yunnan and Guangxi). It is part of a project looking at the links between these societies in terms of natural resource flows, labour regimes, investments, infrastructures, technology. The project is being undertaken with the College of Humanities and Development Studies at China Agricultural University, Beijing; the University of Hanoi; as well as TNI. Jun and TNI's Agrarian and Environmental Programme often collaborate in joint in initiatives, including the ongoing research being undertaken under the rubric of Emancipatory Rural Politics Initiatives (ERPI) – looking at authoritarianism and emancipatory counters in rural contexts; and the BRICS Initiative in Critical Agrarian Studies (BICAS) which looks at the agrarian politics of BRICS countries. As part of the ERPI work, he wrote 'Agrarian social movements: The absurdly difficult but not impossible agenda of defeating right-wing populism and exploring a socialist future'.

The first edition of the Journal of Peasant Studies (JPS) <u>writeshop</u> was held in Beijing in 2019. Jun is the editor of JPS, which initiated the annual event with the <u>College of Humanities and Development Studies</u> of China Agricultural University in Beijing (where Jun is also an adjunct professor), PLAAS, Young African Researchers in Agriculture (YARA), and the <u>Future</u> <u>Agricultures Consortium</u>. The writeshops are aimed at supporting the next generation of activist-scholars from the Global South.

Meanwhile, Jun won one a prestigious advanced grant for top researchers from the European Research Council. Jun will be using the grant to build on his long-standing work on land politics, and to widen it to examine how it reshapes the geopolitics in Africa, Asia and Latin America and four spheres of global social life: food, climate change politics, labour, nation-state/ citizenship. He was also cited for the second consecutive year as one of the top 1% cited researchers in his field.

Jun is also part of an ongoing research project in Mali (with Via Campesina's CNOP) and Nigeria (with Friends of the Earth's ERA) on conflict and political contestations at the intersection between resource grabbing and climate change politics. Anchored by FIAN International, the research will be concluded by the end of 2020. He co-wrote an <u>article</u> problematising land grabbing in the context of climate change politics, dubbed 'green grabbing', drawing on a previous project looking at the same phenomenon in Asia which was undertaken with TNI, among other partners.

Inspired by the experience of his own pilgrimage a few years ago, Jun also initiated an action research project in collaboration with Paul Nicholson (one of the founding leaders of La Via Campesina) and with community activists on the political economy of the increasing popularity of the Camino de Santiago and its implications for the local communities across the pilgrimage trail.

Read more about Jun here.

#### **Phyllis Bennis**

#### Director of the New Internationalism Project at the Institute for Policy Studies

A life-long peace activist, Phyllis has worked tirelessly for decades to oppose US military interventions across the world and to champion Palestinian rights.

She serves on the Board of Jewish Voices for Peace, and is active in the Boycott, Divest, Sanctions (BDS) campaign aimed at pressuring Israel into respecting international law. Throughout 2019, Phyllis wrote numerous articles on relations between the US and Israel, particularly in light of Israel's settlement expansion in the West Bank, siege of Gaza, and US recognition of Israel's annexation of Syria's Golan Heights. As Phyllis has regularly observed, the stronger the BDS campaign becomes, the more virulent become the efforts of the Israeli government and its supporters to paint all criticism of Israel as 'anti-semitic'. Phyllis also wrote numerous articles about the dangers of false accusations of anti-semitic threat is clearly linked to the white supremacism unleashed in recent years, which also suggested a strong basis for unity with people of colour who are bearing the brunt of this. She gave talks to students at <u>Hostra</u> and <u>Brandeis</u> universities on Palestinian rights and U.S. policy in the Middle East.

Phyllis also continued her work with the <u>Poor People's Campaign</u> (PPC), which aims to tackle racism, poverty, militarism and climate change. Phyllis has been part of an IPS team helping PCC develop a Moral Budget, which includes calls for massive cuts in the U.S. military budget with the funds redirected to addressing social needs and climate change. Phyllis and one of PPC's main leaders, the Reverend Dr William Barber II, also co-authored

an article to this effect. Phyllis followed up with a talk at the PPC's Freedom School for student activists. On the issue of linking war and militarism with climate, and particularly the need to cut the military budget to fund a Green New Deal and other efforts to protect the planet, she wrote further pieces for Jacobin and The Nation, and spoke at both the teach-in and rally of the Fire Drill Fridays climate protests in Washington DC, initiated by actoractivist Jane Fonda with Greenpeace, and spoke at a <u>New Energy Economy</u> event in Santa Fe, New Mexico. Phyllis joined Rev. Barber, IPS Executive Director John Cavanagh, Noam Chomsky and 100 other artists, intellectuals and activists in signing a <u>petition</u> to "strongly condemn President Trump's efforts to vilify, intimidate, and use force against refugees and asylum seekers at and approaching the U.S. border."

She continued to comment on the <u>toll</u> of US wars across the world, the threat of a new war with <u>Iran</u> and US hypocrisy on <u>nuclear disarmament</u>, prospects for the end of war in <u>Afghanistan</u> and <u>Nato's</u> legacy after 70 years.

Read more about Phyllis here.

## **TNI COLLABORATIONS IN 2019**

We would like to thank all those individuals who cooperated with TNI in 2019, whose time, labour and expertise is much appreciated. Apologies in advance to anyone who was inadvertently omitted.

Pablo Faiardo Mendoza

Ramón Vera-Herrera

Natalia Rebollo

Arun Kundnani

Ashley Dawson

Jackson Koeppel

Kasia Malinowska

Laura Flanders

Owen Davis

Patrick Barret

Sacajawea Hal

Saskia Sassen

Sean Sweeney

Thomas Hanna

Pablo Messina

**ASIA-OCEANIA** 

Karina Bathhyány

Constanza Moreira

Sam Cossar-Gilbert

Anita Gurumurthy

Chenoy Anuradha

Meena Menon

Vivek Monteiro

Orijit Sen

Ted Howard

URUGUAY

AUSTRALIA

Sit Tsui

Yan Hairong

CHINA

INDIA

Matthew Wilson

Khury Petersen-Smith

John Feffer

John Treat

Iohn Walsh

Kali Akuno

INDONESIA

MALAYSIA

MONGOLIA

MYANMAR

PAKISTAN

PHILIPPINES

Tur-Od

Sai Lone

Faroog Tarig

Lidy Nacpil

Tina Ebro

Irmak Ertor

Joris Leverink

Dong Huy Cuong

Agnes Midi-Keita

Felogene Anumo

Alvin Mosioma

David Ngige

Ashok Subron

Brian Ashlev

Donna Hornby

Dominic Brown

Mercia Andrews

Richard Worthington

Sandra van Niekerk

62

Naseegh Jaffer

Ruth Hall

TURKEY

VIETNAM

**AFRICA** 

MAURITIUS

SOUTH AFRICA

KENYA

Joseph Perugganan

Maryan Mannahan

Raguel Castillo

Arie Skakurniawaty

Marthin Hadiwinata

Henrikus Pratama

Charles Santiago

Lahpai Seng Raw

Eri Trinurini Adhi

ECUADOR

MEXICO

UNITED STATES

#### **EUROPE**

#### AUSTRIA

- Alexandra Strickner
- Martin Konecny

#### BELGIUM

- Kathy Bernaerts
- Kris Vanslambrouck
- Lora Verheecke
- Martin Pigeon
- Olivier Hoedeman
- Pascoe Sabido

#### CROATIA

- Iva Marčetić
- Vedran Horvat

#### CZECH REPUBLIC

Ondrêj Slacálek

#### DENMARK

- Chenchen Zhang
- Erick Gonzalo Palomares Rodrígue
- Kenneth Haar
- Mads Bargesgaard
- Maj Nygaard-ChristensenVibeke Asmussen Frank

#### FRANCE

- Christophe Aguiton
- Deborah Eade
- Maxime Coombes
- Olivier Pettijean
- Thibault Josse
- Yasser Louati

#### GERMANY

- Andrea Nuila
- Aude Launay
- Bernd Werse
- Emily Mattheisen
- Heino Stöver
- Ingo Ilja Michels
- Jürgen Maier
- Martin GerdemanNelly Grotefendt

Transnational Institute 2019 annual report

- Nelly Groteren
- Philip Seufert

- Pia Eberhardt
- Sofia Monsalve Suárez
- Stephan Backes
- Stephan Taschner
- Tim Pfeiffer-Gerschel
- Yifang Slot-Tang

#### GREECE

- Apostolis Fotiados
- Charalampos Konstantinidis
- Christina Sakali
- Eirini Tzekou
- Jenny Gkiougki
- Kris Giovanopolous
- Leonidas Vatikiotis

#### HUNGARY

Agnes Gagyi

#### IRELAND

- Fionnula Ní Aoláin
- Sean Brennan

#### ITALY

- Antonio Honorati
- Antonio Tricarico
- Biagio Quattrocchi
- Francesco Silvi
- Nora McKeon
- Vanessa Bilancetti

#### NETHERLANDS

- Alexander Beunder
- Bas Coenegracht
- Bas van Beek
- Berna Toprak
- Dirk J. Korf
- Domenica Ghidei
- Flor Avelino
- Fons Broersen
- Hanny van Geel
- Heino Stöver
- Jasja van der Zijde
- Jasper Blom
- Jeannette Oppedijk van
- Veen
- Jerome Roos
- Jilles Mast
- Jolke de Moel

- Laura Basu
- Leonardo van den Berg

Iames O'Nions

Jean Blaylock

Joel Benjamin

Mark Hudson

Nick Dearden

Nisha Kapoor

Poonam Joshi

Rizwaan Sabi

Ruth Blakeley

Sahil Dutta

Tom Henfrey

Wagas Tufail

Mabel Thwaites Rev

Linda Farthing

Barry Sautman

Katelyn Friesen

Lorena Zárate

Stuart Trew

González

Pedro Arenas

Andrés Arauz

Maria Belen Angeles

Alexander Panez Pinto

Angélica Castañeda Flores

Diana María Peña-García

· Jorge Andrés Forero-

Miranda D. Mosis,

Firoze Manji

David McDonald

Pablo Solón

Tom Mills

AMERICAS

ARGENTINA

BOLIVIA

CANADA

CHILE

COLOMBIA

COSTA RICA

Narzanin Massoumi

Oliver Springate-Baginski

Katy Sian

Lee lones

- Lora Verheecke
- Mark Akkerman
- Merel de Buck
- Nawal Mustafa
- Paige Shipman
- Reijer Hendrikse
- Rodrigo Fernandez Rutger Groot Wassink
- Sandra Ball
- Selcuk Balamir
- Sijtse Jan Roeters
- Willem Heuperman

Alba del Campo

Cristina Goñi

Ann Marie Utratel

Constanza Sánchez

Daniel Díaz-Fuentes

Donal Mac Fhearraigh

Inaki Bizente Barcena

Romy Kraemer

Stacco Troncaso

Simona Levi

Xabier Arana

Frank Zobel

Aliona Liasheva

Andrew Cumbers

Ann Fordham

Ann Pettifor

Brett Scott

Chris Jones

David Hall

David Miller

Ian Scoones

Dottie Guerrero

Frances Thomson

Volodymyr Ishchenko

Lynn Fries

UNITED KINGDOM

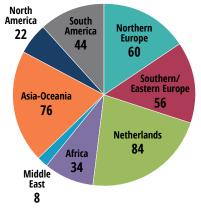
SWITZERLAND

UKRAINE

Ainhoa Ruiz Benedicto

#### SPAIN

## **ORGANISATIONAL COLLABORATIONS IN 2019**



#### **MEDIA PARTNERS**

- Alai (Ecuador)
- Amandla (South Africa)
- Follow the Money (Netherlands)
- La Diaria (Uruguay)
- Laura Flanders Show (USA)
- Noor Images (Netherlands)
- Open Democracy (UK)
- Platform voor Authentieke Journalistiek (Netherlands)
- Real World Radio (Uruguay)
- Red Pepper (UK)
- ROAR (Netherlands)
- The Correspondent (Netherlands)

#### **GLOBAL**

- Articulação Internacional de Atingidas e Atingidos pela Vale
- Association for Women's Rights in Development
- Change Finance
- FIAN International

Transnational Institute 2019 annual report

- Friends of the Earth International
- Global Campaign to Dismantle Corporate Power and against Impunity

- Habitat International Coalition
- Indigenous Peoples' International Centre For Policy Research and Education
- International Planning Committee for Food Sovereignty
- La Vía Campesina
- People's Sovereignty Network
- Public Services International
- Red Intercontinental de Promoción de la Economía Social Solidari
- Stop the Wall Campaign
- The Global Platform for the Right to the City
- Third World Network
- Trade Unions for Energy DemocracyWorld Forum of Fisher Peoples
- World Forum of Fisher Harvesters and Fish Workers
- World March of Women

#### AFRICA

#### (SUB)REGIONAL

- African Biodiversity NetworkCentre de Recherches et
- d'Appui pour les Alternatives de Developpment – Oceán Indien
- North African Food Sovereignty Network
- Réseau des organisations paysannes et de producteurs de l'Afrique de l'Ouest
- Southern Africa Campaign to
  Dismantle Corporate Power
- Third World Network
- Women in Mining (WOMIN)

#### GAMBIA

- National Coordinating Organisation
   of Farmer Associations
- KENYA
- Dajopen Waste Management Project from Kitale

#### MAURITIUS

 Centre for Alternative Research and Studies

#### MOROCCO

- Attac Morocco
- Adrar Nouh Cooperative
- Association Senhaja

#### MOZAMBIQUE

Justica Ambiental/FoE

#### NIGERIA

- Environmental Rights Action/FOE
- Nigeria Labour Congress
- United Action for Democracy

#### SOUTH AFRICA

- Alternative Information & Development Centre
- Amadiba Crisis Committee
- Association of Mineworkers and Construction Union
- Groundwork/FoE
- Masifundise
- National Union of Metalworkers of South Africa
- National Union of Mineworkers
- Rural Women's Assembly
- Trust for Community Outreach & Education

#### TOGO

• Friends of the Earth

#### TUNISIA

- Al Warcha Media Collective
- Observatoire de la Souveraineté Alimentaire et de l'Environnement
- OxYGen Foundation
- Tunisian Association of Sustainable
   Agriculture
- Tunisian Observatory of EconomyTunisian Working Group on Food
- Sovereignty

#### TANZANIA

Tanzania for Human Rights

#### t **UGANDA**

- Kiwonnongo Foundation
- Southern and Eastern Africa Trade Information and Negotiations Institute
- National Association of Professional Environmentalists/FOE

#### ZAMBIA

 Mouvement International de la Jeunesse Agricole et Rurale Catholique (MIJARC) ARGENTINA

ATTAC Argentina

Regional

BOLIVIA

BRAZIL

Intercambios

Acción Andina

de AIDS

Vigencia

Seed Change

CANADA

CHILE

Homa Institute

Barragens/LVC

Democracy Center

Amigos da Terra Brasil

· Centro de Estudio Legales y Sociales

Universidad Nacional de San Martín

Escuela Politica y Gobierno,

Instituto de Ciencia y Tecnología

Movimiento Agroecológico de

Multisectorial Antiextractivista /

Campaña Gane Quien Gane

Observatorio Petrolero del Sur

Associacao Brasileira Interdisciplinar

Movimento dos Atingidos por

International Institute for

National Farmers' Union

Municipal Services Project

University of Saskatchewan

· Movimiento de Defensa del

Medioambiente

Sustainable Development

Canadian Union of Public Employees

Central Unitaria de Trabaiadores

Agua, la Tierra y la Protección del

63

Plataforma Chile mejor sin TLCs

Universidad Abierta de Recoleta

América Latina y el Caribe

#### ZIMBABWE

Centre for Natural Resources

#### WEST ASIA/MIDDLE EAST

#### IRAQ

- Iraqi Social Forum
- Kurdish Social Forum

#### PALESTINE

Al-Haq

AMERICAS

REGIONAL

- Friends of the Earth Palestine
- Gaza Urban Agriculture Platform
- Union of Agricultural Works
   Committees

#### TURKEY

 Association of Istanbul Fishing Cooperatives

· Amigos de la Tierra de América

Confederación Sindical de las

Conseio Latinoamericano de

Bogazici University

Latina y Caribe

Ciencias Sociales

· Jornada Continental por

la Democracia y contra el

Internacional de Servicios Públicos-

Plataforma América Latina mejor

Plataforma de Acuerdos Públicos

Red Intercontinental de Promoción

Red Latinoamericana por el Acceso a

Comunitarios de las Américas

de la Economía Social Solidaria

Drogas y Derecho

neoliberalismo

América Latina

Medicamentos

sin TLC

Américas

## **ORGANISATIONAL COOPERATION**

#### COLOMBIA

- CENSAT Agua Viva /FOE
- Central Unitaria de Trabajadores
- Centro de Investigación y Educación Popular
- Dejusticia
- Empresas Municipales de Cali
- Observatorio de Cultivos y Cultivadores Declarados Ilícitos
- Sindicato de Trabajadores de las Empresas Municipales de Cali

#### COSTA RICA

- Asociación Costarricense para el Estudio e Intervención en Drogas
- Ecuador
- Acción Ecológica
- Centro de Derechos Económicos y Sociale
- Coordinadora Andina de Organizaciones Indigenas
- Unión de Afectados por Texaco
- El Salvador
- Asociación de Desarrollo Económico Social Santa Marta

#### MEXICO

- Asamblea Nacional de Afectado/as Ambientales de México
- Colectivo Multidisciplinario por las Alternativas Locales
- Laboratorio de Investigación en Desarrollo Comunitario y Sustentabilidad
- México Unido Contra la Delincuencia
- Unión de Científicos Comprometidos con la Sociedad

#### PERU

- Cooperacción
- Saint Vincent and the Grenadines
- Cannabis Revival Community

#### URUGUAY

- Red de Ecología Social/FOE
- Cooperative Comuna

Transnational Institute 2019 annual report

#### USA

- American Friends Service Committee
- Center for Governance and Sustainability, UMass-Boston

- Columbia Center on Sustainable
  Investment
- Corporate Accountability
- Democracy Collaborative
- Havens Wright Center for Social Justice, University of Wisconsin-
- Madison
- Institute for Policy Studies
- Intercambios
- International Indian Treaty Council
- Next System Project
- No Más Muertos/No More Deaths
- Northwest Atlantic Marine Alliance
- Soulardarity
- Tellus Institute
- Washington Office on Latin America

#### **ASIA-OCEANIA**

#### REGIONAL

- Asia-Europe Peoples Forum
- Asian Task Force for a Binding Treaty
  EU-ASEAN FTA network, South East
- Asia • Focus on the Global South
- International Indian Treaty Council
- Pacific Network on Globalisation

#### AUSTRALIA

Australian Food Sovereignty Alliance

#### INDIA

- All India National Life Insurance Employees Federation
- Centre of Indian Trade Unions
- Chemical Mazdoor Panchayat
- Forum Against FTAs India
- Indian AEPF Committee
- ITforChange
- Joint Action Committee Against Foreign Retail and E- commerce
- POSCO Pratirodh Sangram Samiti

#### INDONESIA

- Amrta Institute
- Indonesia for Global Justice
- Kesatuan Nelayan Tradisional Indonesia
- Solidarity Perempuan
  - Wahana Lingkungan Hidup (WALHI/ FOE)

- JAPAN
  - All Japan Water Supply Workers Union

Kuki Youth Network

Land in Our Hands

Organisation

Committee

Paung Ku

Peoples

Democracy

Organizaiton

Tai Youth Network

Platform

Kachin

Justice Society

Thanlwin Thitsa

Organisations

Myanmar

Heart Land

Green Land

Nyein Salween

Mawk Khon Institute

Kyun Ta Htaung Myae Foundation

Metta Development Foundation

Mon Region Land Policy Affairs

Mon Women's Organisation

Myanmar Mining Watch

Pa-O Youth Organisation

Pekhon Farmers' Network

Pyiduangsu Institute

Mon Area Community Development

Mon Youth Progressive Organisation

Myannmar Opium Farmers Forum

Resource Rights for the Indigenous

Shan Nationalities League for

Shan State Ethnic Right to Land

Southern Youth Development

Technical Advisory Team (TAT)

Thai Burma Border Consortium

United Nationalities Alliance

Farmers Development

• Farmer and Labour Union

Environmental Conservation and

Lahu National Development party

Agriculture & Farmer Federation of

Mong Pan Youth Organization

Lahu Women Empowerment

Ta-ang Students and Youth Union

Lahu Development Network

EUROPE

REGIONAL

Agua Publica Europea

European Coordination Via

European Network Against the Arms

European Network for Community-

European Public Services Union

Friends of the Earth Europe

Green New Deal for Europe

Led Initiatives on Climate

Municipalism Observatory

Platform of Filipino Migrant

Organisations in Europe

Seattle to Brussels network

Transnational Migrant Platform

Austrian Federal Chamber of Labour

Transform Europe!

• 11.11.11 (Belgium)

Client Earth (Brussels)

Corporate Europe Observatory

Friends of the Earth Europe

Institute for Political Ecology (Kroatia)

Danish Union of Public Employees

64

Municipality of Burgas

Attac Austria

AUSTRIA

(AK)

CADTM

Fairfin

BULGARIA

CROATIA

DENMARK

(Brussels)

(Brussels)

Finance Watch

Czech Republic

Charles University

NOAH/FoE Denmark

BELGIUM

MOBA Housing Network

Commons Network

Energy Cities

Campesina

Trade (ENAAT)

#### MALAYSIA

 Monitoring Sustainability of Globalisation

#### PHILIPPINES

- Alliance for Progressive Labour/ SENTRO
- Asia People's Movement on Debt and Development
- Institute for Popular Democracy
- Initiatives for Dialogue and Empowerment through Alternative Legal Services

#### a VIETNAM

Vietnam Peace and Development
 Foundation

#### MONGOLIA

Mongolia AEPF Committee

#### y **MYANMAR**

- Asia Catalyst
- Burma Environmental Working
  Group
- Bridging Rural Integrated Development and Grassroot Empowerment
- Deutsche Gesellschaft für Internationale Zusammenarbeit (GIZ) GmbH
- Drug Policy Advocacy Group
- International Drug Policy Consortium
- International Peace Support Group
- Karen Environmental Action Network
- Karenni Evergreen

Center

Development

Kayan National Party

- Karenni Land Policy Development
   Committee
- Kayah Environment Action Network
- Karenni State Farmer Union
- Karenni Social Social Welfare and Development Center
- Karenni National Progressive Party
  Karenni Legal and Human Right

· Karen Office on Relief and

KNU Central Land Committee

## **ORGANISATIONAL COOPERATION**

#### FRANCE

- Agir pour la Paix
- AITEC
- Association des Femmes Centrafricaines Ingénieurs du Développement Rural délégation Europe
- ATTAC France
- Comite de Justice et Liberte pour Tous
- Veblen Institute
- Eau de Paris
- FoE France
- France Amerique Latine
- Medicins du Monde
- Multinationals Observatory
- Pleine Mer
- Union syndicale Solidaires

#### GERMANY

- Asienhaus
- ATTAC Germany
- Berlin State Government
- BUND/FoE Germany
- Deutsche Gesellschaft fur Internationale Zusammenarbeit
- Fair Oceans
- Forum Umwelt und EntwicklungGlobal Partnership on Drug Policy
- and DevelopmentOzeanien Dialog
- PowerShift
- Rojava grassroots experience
- Rosa Luxemburg Foundation
- Südwind

#### GREECE

- ENA Institute for Alternative Policies
- Diogenis

#### IRELAND

Save our Sperrins, Irish Broad Left

#### ITALY

- ARCI
- Carovane Migranti
- Fairwatch
- Fondazione Finanza Etica

Transnational Institute 2019 annual report

Forum Droghe

- Italian Pastoralist network
- IUC TurinNo TAP Movement
- Crocevia
- Re-Common
- Schola Campesina
- Terra Nuova
- Un Ponte Per

#### MALTA

- Malta Organic Agriculture Movement
- NETHERLANDS
- Actiekeuken Rampenplan
- Action Aid
  - Algemene Centrale der Openbare Diensten
- Amnesty International NL
  - Amsterdams Vredesinitiatief
  - ASKV/ Steunpunt Vluchtelingen
  - Bijstandsbond
  - Black Queer & Trans Resistance NL
  - Both Ends
  - Clean Clothes Campaign
  - Climate Liberation Bloc
  - CNV
    - Code Rood
  - Collectief Tegen Islamofobie en Discriminatie,
  - Comité 21 Maart
    - Comitee 21 March
  - Cordaid
  - Ctrl-Alt-Delete
  - De 99 van Amsterdam
  - De Bovengrondse
  - De Goede Zaak
  - de Nieuwe Meent
  - Dokters van de Wereld
  - Dutch Dairymen Board
  - Earthstrike
     Euro-Mediterraan Centrum Migratie
     Ontwikkeling
  - & OntwikkelingEuropean Cultural Foundation
  - Extinction Rebellion
    - Federatie van Democratische Verenigingen van Arbeiders uit Turkije in Nederland

- FNV
  - FNV Amsterdam
- Foodwatch NL
- Fossielvrij
- Fossil Free Feminists
- Foundation Max van der Stoel

Stop Wapenhandel

Tax Justice Nederland

Teachers for Climate

Nederland/HTIB

Handelskampanjen

Trainingscollectief Stroomversnellers

· Vereniging Arbeiders uit Turkije in

Norwegian Union for Municipal and

Center for Politics of Emancipation

Bizilur, Association for Cooperation

· Engineers Without Borders Catalonia

Ethnobotanical Education, Research

Fundación APY/Universidad de

and Development of the people

Caravana Abriendo Fronteras

Cátedra Tierra Ciudadana

Ecologistas en Accion

Ekologistak Martxan

General Employees (Fagforbundet)

Theaterstraat

Vrijschrift

WO=MEN

WEMOS

Wise

NORWAY

POLAND

IGO

ROMANIA

SERBIA

SPAIN

Ecoruralis/LVC

APROAMAR

ATTAC España

Centro Delás

CommonsPolis

Entrepueblos

FUHEM Ecosocial

Fundación Al Fanar

GoiEner Cooperative

International Center for

Iusticia i Pau Barcelona

Municipality of Cadiz

Municipality of Barcelona

FoE Spain

Sevilla

and Service

Municipality of Pamplona

Catalonia

América Latina

SETEM Catalunya

SOC-SAT Trade Union

University of Cordoba

Council of Churches

ProNatura/FoE Switzerland

Society for Threatened Peoples

Ukrainian Rural Development

Global Drug Policy Observatory

Manchester Museum Youth

New Economics Foundation

Public Services International

Trade Justice Movement

University of Glasgow

Municipality of Plymouth

International Drug Policy Consortium

65

Ukrainian Small Farmers

Universidad de Cantabria

University of Basque Country

Ecumenical Advocacy Alliance, World

Global Commission on Drug Policy

· Commons Journal of Social Criticism

Sindicato LAB

Novact

Urgenci

SWITZERLAND

UKRAINE

Network

UNITED KINGDOM

Carbon Coop

Collective

Platform London

Research Unit

Spinwatch

Statewatch

War on Want

• We Own It

Global Justice Now

CAGE

Organisation

FoE Sweden

SWEDEN

Network for Energy Sovereignty

Observatorio de Multinacionales en

- Friday's For Future
- Future Beyond Shell
- Gastivists
- Greenpeace
- Greenpeace Nederland
- Groenfront! Earthfirst
- Handel Anders
- Institute for Social Studies, Erasmus University
- Internationale Socialisten
- IZI-solutions
- Jongeren Milieu Actief
- Komitee Marokkaanse Arbeiders in Nederland
- La BenevolenciaMaastricht for Climate
- Mainline
- Trimbos
- Health Action International

Nederland Wordt Beter

Ondernemers van Nu

Our New Economy

Prove Them Wrong

Oueers for Climate

• Stem op een Vrouw

· Stichting Meld Islamofobie

Stop the War on Migrants

OxfamNovib

PARTOS

S.P.E.A.K

SOMO

Solidaridad

PAX

Open State Foundation

Nederlands Palestina Komitee

Nederlandse Akkerbouw Vakbond

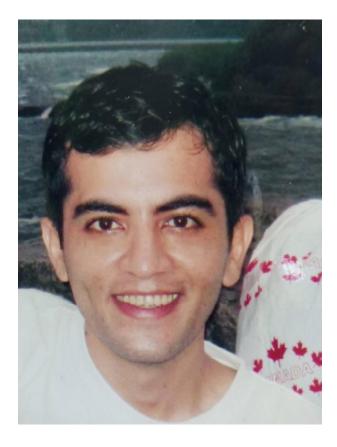
Platform Aarde Boer Consument

Public Interest Litigation Project

Milieudefensie

## **REMEMBERING THURA MYINT LWIN** (1976-2019)

The year 2019 began on a sad note with news that Thura, our colleague in Myanmar, had passed away. Thura was a pioneer and very active member of self-help groups that campaigned for greater access to ART treatment in the country. He worked tirelessly to end the discrimination and stigmatisation of people living with HIV, drug users and other marginalised groups such as sex workers and men having sex with men. He was involved in establishing the Greater Involvement of People Living with HIV/ Aids (GIPA) group in 2005, and was also one of the founders of the self-help group "Oasis" and the Myanmar Positive Group, the largest network of people living with HIV in the country. We are privileged to have worked with him since 2009, and he will always remain in our hearts.









# annual accounts 2019

Transnational Institute at Amsterdam

date12 June 2020reference20-517/SR

## Table of contents

#### Page

17

#### Financial report

A.	Balance sheet	1
В.	Statement of income and expenditure	2
C.	Cash flow statement	3
D.	Accounting principles	4
E.	Notes to the balance sheet	6
F.	Notes to the statement of income and expenditure	12
G.	Summary of the projects 2019	14
H.	Summary of the projects per theme 2019	15

#### Other information

Independent auditor's report

### A. Balance sheet

	31-12-2019	31-12-2018
ASSETS	€	€
Fixed assets		
- Tangible fixed assets	1.728.584	1.750.764
Total fixed assets	1.728.584	1.750.764
Current assets - Receivables - Cash and cash equivalents	512.064 2.405.705	299.842 3.070.741
Total current assets	2.917.769	3.370.583
Total assets	4.646.353	5.121.347
LIABILITIES		
Reserves and funds - Continuity reserve - Restricted fund	1.174.321 16.169	1.173.672 0
Total reserves and funds	1.190.490	1.173.672
Provisions	65.154	49.737
Long-term liabilities	1.473.574	1.483.280
Short-term liabilities	1.917.135	2.414.658
Total liabilities	4.646.353	5.121.347

## B. Statement of income and expenditure

	Realisation 2019	Budget 2019	Realisation 2018
	€	€	€
Income			
Grants Donations and contributions Exchange losses/gains Interest Income from rent Other Total income	4.310.294 11.474 4.305 522 128.939 21.620 4.477.154	4.630.769 0 2.000 140.000 6.876 4.779.645	4.602.722 50.666 16.724 1.277 137.353 59.411 4.868.153
Expenditure			
Administration and fundraising - Personnel costs - Building expenses - Office and communication	447.907 161.936 350.005	432.122 189.870 292.760	680.501 165.775 368.572
Total administration and fundraising	959.848	914.752	1.214.848
Research and activities	3.500.488	3.824.427	3.586.252
Total expenditure	4.460.336	4.739.179	4.801.100
Balance income and expenditure	16.818	40.466	67.053
Allocation of balance from income and expend - Continuity reserve - Restricted fund (ISDS campaign)	iture 649 16.169	40.466 <u>0</u>	67.053 0
Balance from income and expenditure	16.818	40.466	67.053

## C. Cash flow statement

	2019		2018	
	€	€	€	€
Cash flow from operating activities				
Result Depreciations	16.818 57.175		67.053 57.402	
Mutations in work capital: - Receivables - Provisions - Short-term liabilities	-212.222 15.417 -497.523	73.993	300.900 15.965 -179.867	124.455
Total mutations in work capital		-694.328		136.998
Total cash flow from operating activities		-620.335		261.453
Cash flows used in investing activities				
Purchases		-34.995		-2.594
Cash flows used in financing activities				
Decrease in debt Other long term liabilities	-7.726 -1.980		-7.726 5.940	
Total cash flows used in financing activitie	es	-9.706		-1.786
Net increase in cash and cash equivalent	s	-665.036		257.073
Cash and cash equivalents at year end Cash and cash equivalents at beginning c	of year	2.405.705 3.070.741		3.070.741 2.813.668
Changes in cash and cash equivalents		-665.036		257.073

### D. Accounting principles

#### General

Assets and liabilities are stated at face value unless a different valuation principle is mentioned.

#### Going concern

The accounting policies within the financial statements are based on the assumption that TNI will be able to continue as a going concern.

#### <u>RJ C1</u>

This presentation of the financial statements is in accordance with Dutch Accounting Standard Board's Guideline for Annual Reporting C1 for small not-for-profit organisations.

#### **Currency**

Transactions denominated in foreign currencies conducted during the reporting period are recognised in the annual accounts at the rate of exchange on the transaction date. Any resulting exchange differences are recognised through income and expenditure. Amounts denominated in foreign currency have been converted into Euro using the exchange rate valid on the transaction date. Exchange differences are accounted for in the statement of income and expenditure, unless a different rate is mentioned.

#### **Comparative figures**

The figures for the previous year included in the annual accounts have been adjusted for purposes of comparison only.

#### Changes in accounting principles

The valuation and the principles for determination of results remained unchanged compared to 2018.

#### Fixed assets

These are shown at historical cost. Depreciation and amortisation are calculated based upon these assets according to the straight line method on the expected useful life of the class of asset concerned. If there is deemed to be a permanent diminution in the value of an asset, the appropriate value adjustment is made. Depreciation percentages are 33,3% for computer equipment, 25% for inventory, 10% for solar panels and 2% for the building.

#### **Receivables**

Receivables are stated at face value with a net of provisions for doubtful debts where necessary.

#### OTHER INFORMATION

#### Allocation of results

The result has been allocated to the continuity reserves in advance of discussion of the meeting of the Supervisory Board.

## E. Notes to the balance sheet

#### ASSETS

#### Tangible fixed assets

	Buildings	Office equipment	Total
	€	€	€
Opening balance Investments	1.720.494 25.000	30.270 9.995	1.750.764 34.995
Minus: depreciation	-48.353	-8.822	-57.175
Depreciation desinvestments	0	0	0
Minus: desinvestments	0	0	0
Net book value per 31 December 2019	1.697.141	31.443	1.728.584
Accumulated investments Minus: accumulated depreciations	2.506.123 *	91.047	2.597.170
per 31 December 2019	-808.982	-59.604	-868.586
Net book value per 31 December 2019	1.697.141	31.443	1.728.584

\* The building has been appraised, in a valuation by 'Draijer Makelaardij & Vastgoedbeheer' on 11 October 2018, at a value of € 2.800.000.

	31-12-2019	31-12-2018	
	€	€	
Receivables			
Prefinancing partners pending deliverables	321.568 *	94.401	
Grants	59.555	68.835	
Accounts receivable	51.682	49.437	
Prepayments	59.460	41.088	
Other receivables	19.799	46.081	
Total receivables	512.064	299.842	

\* The account Prefinancing partners pending deliverables contains the prefinancing of the partner's share regarding the EU project CoSDAMHI.

	31-12-2019	31-12-2018
	€	€
- Grants		
NAHRPP AEPF Lobbywatch GPDPD Exchange 2017-2018 10th Dialogue GPDPD Exchange 2019-2020 Caroline Fellowship Drugs & Democracy Global Partn. Drug Pol. 2017-2018 Myanmar/Lebanon SKOUN ERPI	25.578 19.537 5.138 3.510 3.445 1.187 1.160 0 0 0 0 59.555	$\begin{array}{c} 0\\ 0\\ 0\\ 14.000\\ 3.421\\ 0\\ 0\\ 37.518\\ 6.889\\ 6.596\\ 411\\ 68.835\end{array}$
- Other receivables		
Advances projects Miscellaneous receivables Advances employees Interest Advances Partner Pang Ku Total other receivables	15.216 2.996 958 478 151 19.799	27.252 3.375 4.796 1.222 5.651 42.296
Cash and cash equivalents		
ASN Bank Triodos Bank ABN AMRO Bank Accounts in foreign currencies Petty cash PayPal Credit card Total cash and cash equivalents	1.011.222 554.467 509.965 328.192 2.084 123 -348 2.405.705	2.200.000 48.913 447.246 360.769 586 12.912 315 3.070.741
- Accounts in foreign currencies		
ABN AMRO Bank USD ABN AMRO Bank GBP	327.692 500	351.864 <u>8.905</u>
Total accounts in foreign currencies	328.192	360.769

	31-12-2019	31-12-2018
	€	€
LIABILITIES		
Continuity reserve		
Balance 1 January Allocation net result	1.173.672 649	1.106.619 67.053
Balance 31 December	1.174.321	1.173.672

The reserve exists to ensure that the organisation can also meet its obligations in the future. The reserve increases from  $\in$  1.173.672 to  $\in$  1.174.321 at year end. A new reserve policy will be determined in 2020.

#### Restricted fund (ISDS campaign)

Balance 1 January	0	0
Allocation net result	16.169	0
Balance 31 December	16.169	0

The fund contains unused funding received from donations and partner organisations to support the ISDS campaign project. Activities for the ISDS campaign utilizing this fund are scheduled for 2020.

#### **Provisions**

- Building restoration

Balance 1 January	49.737	33.772
Provision *	25.281	35.000
Restoration	-9.864	-19.035
Balance 31 December	65.154	49.737

\* The maintenance plan, which determines the yearly addition to the provision has been reviewed and updated. The new maintenance plan is active starting the year 2019 until the year 2035.

	31-12-2019	31-12-2018
	€	€
Long-term liabilities		
Mortgage Triodos Bank NL85 TRIO 0212 1493 42 Mortgage Triodos Bank NL10 TRIO 0212 1492 37 Other long-term payables *	1.440.000 29.614 <u>3.960</u>	1.440.000 37.340 5.940
Total long-term liabilities	1.473.574	1.483.280

\* Other long-term payables contain an agreement with tennant "De Kleine Wereld" to refund the purchase of new radiation for the building by the tennant. € 1.980 will be deducted yearly from the charged rent as a form of payment. The last payment is scheduled for 2022.

#### - Mortgage Triodos Bank NL85 TRIO 0212 1493 42

Balance 1 January	1.440.000	1.440.000
Paid mortgage	0	0
Balance 31 December	1.440.000	1.440.000
Included in current liabilities	0	0
Total mortgage Triodos Bank NL85 TRIO 0212 1493 42	1.440.000	1.440.000
- Mortgage Triodos Bank NL10 TRIO 0212 1492 37		
Balance 1 January	45.066	52.792
Paid mortgage	-7.726	-7.726
Balance 31 December	37.340	45.066
Included in current liabilities	-7.726	-7.726
Total mortgage Triodos Bank NL10 TRIO 0212 1492 37	29.614	37.340

	31-12-2019	31-12-2018
	€	€
Short-term liabilities		
Grants (received in advance)	1.476.307	1.844.500
Accounts payable	169.932	294.579
Provision vacation pay	55.384	50.428
Provision vacation days	51.928	36.853
Liabilities to partners	40.683 *	55.736
Wage withholding tax	39.535	29.424
Triodos Bank mortgages, redemption due in next year	7.726	7.726
Security on rent	7.664	10.032
Other short-term liabilities	67.976	85.380
Total short-term liabilities	1.917.135	2.414.658

\* Liabilities to partners includes the outstanding accounts payable (grant amount minus prepayments) with project partners regarding the projects FGG € 8.864 and NAHRPP € 31.819.

- Grants (received in advance)

CoSDAMHI         380.933         428.951           Fair, Green & Global         358.204         436.693           Ethn. conflict Burma         226.116         220.846           ISDS         100.911         0           Global Partn. Drug Pol. 2019-2020         60.502         0           Prom. Ethn. Rights Burma         55.434         34.730           Humanitarian migration policy         50.195         0           Drug Policy Advocacy Group III         46.889         38.080           S2B network         40.702         133.677           No more ISDS         39.846         176.340           mPower         28.120         84.655           Community supported fisheries         15.515         0           Reimagining democracy         13.650         0           Handel Anders         13.352         0           Alternative Security         12.986         35.220           Investment Regime         9.635         35.911           Landstrat         7.574         13.696           ERPI         6.412         0           MENA Alt. Development         5.691         33.495           Drugs & Democracy         2.919         0           Geen VIP re			
Ethn. conflict Burma         226.116         220.846           ISDS         100.911         0           Global Partn. Drug Pol. 2019-2020         60.502         0           Prom. Ethn. Rights Burma         55.434         34.730           Humanitarian migration policy         50.195         0           Drug Policy Advocacy Group III         46.889         38.080           S2B network         40.702         133.677           No more ISDS         39.846         176.340           mPower         28.120         84.655           Community supported fisheries         15.515         0           Reimagining democracy         13.650         0           Handel Anders         13.352         0           Alternative Security         12.986         35.220           Investment Regime         9.635         35.911           Landstrat         7.574         13.696           ERPI         6.412         0           MENA Alt. Development         5.691         33.495           Drugs & Democracy         2.919         0         0           Geen VIP rechten         721         0         0           NAHRPP         0         110.028         AEPF	CoSDAMHI	380.933	428.951
ISDS         100.911         0           Global Partn. Drug Pol. 2019-2020         60.502         0           Prom. Ethn. Rights Burma         55.434         34.730           Humanitarian migration policy         50.195         0           Drug Policy Advocacy Group III         46.889         38.080           S2B network         40.702         133.677           No more ISDS         39.846         176.340           mPower         28.120         84.655           Community supported fisheries         15.515         0           Reimagining democracy         13.650         0           Handel Anders         13.352         0           Alternative Security         12.986         35.220           Investment Regime         9.635         35.911           Landstrat         7.574         13.696           ERPI         6.412         0           MENA Alt. Development         5.691         33.495           Drugs & Democracy         2.919         0           Geen VIP rechten         721         0           NAHRPP         0         110.028           AEPF         0         17.776           MENA Food Sovereignty         0 <t< td=""><td>,</td><td></td><td>436.693</td></t<>	,		436.693
Global Partn. Drug Pol. 2019-2020         60.502         0           Prom. Ethn. Rights Burma         55.434         34.730           Humanitarian migration policy         50.195         0           Drug Policy Advocacy Group III         46.889         38.080           S2B network         40.702         133.677           No more ISDS         39.846         176.340           mPower         28.120         84.655           Community supported fisheries         15.515         0           Reimagining democracy         13.650         0           Handel Anders         13.352         0           Alternative Security         12.986         35.220           Investment Regime         9.635         35.911           Landstrat         7.574         13.696           ERPI         6.412         0           MENA Alt. Development         5.691         33.495           Drugs & Democracy         2.919         0           Geen VIP rechten         721         0           NAHRPP         0         110.028           AEPF         0         17.776           MENA Food Sovereignty         0         12.584           Caroline Fellowship         0 </td <td>Ethn. conflict Burma</td> <td>226.116</td> <td>220.846</td>	Ethn. conflict Burma	226.116	220.846
Prom. Ethn. Rights Burma         55.434         34.730           Humanitarian migration policy         50.195         0           Drug Policy Advocacy Group III         46.889         38.080           S2B network         40.702         133.677           No more ISDS         39.846         176.340           mPower         28.120         84.655           Community supported fisheries         15.515         0           Reimagining democracy         13.650         0           Handel Anders         13.352         0           Alternative Security         12.986         35.220           Investment Regime         9.635         35.911           Landstrat         7.574         13.696           ERPI         6.412         0           MENA Alt. Development         5.691         33.495           Drugs & Democracy         2.919         0           Geen VIP rechten         721         0           NAHRPP         0         110.028           AEPF         0         17.776           MENA Food Sovereignty         0         12.584           Caroline Fellowship         0         7.001           Funders for Fair Trade - TTIP Alarm         0<		100.911	0
Humanitarian migration policy         50.195         0           Drug Policy Advocacy Group III         46.889         38.080           S2B network         40.702         133.677           No more ISDS         39.846         176.340           mPower         28.120         84.655           Community supported fisheries         15.515         0           Reimagining democracy         13.650         0           Handel Anders         13.352         0           Alternative Security         12.986         35.220           Investment Regime         9.635         35.911           Landstrat         7.574         13.696           ERPI         6.412         0           MENA Alt. Development         5.691         33.495           Drugs & Democracy         2.919         0           Geen VIP rechten         721         0           NAHRPP         0         110.028           AEPF         0         17.776           MENA Food Sovereignty         0         12.584           Caroline Fellowship         0         7.001           Security budget accountability         0         6.823           Lobbywatch         0         5.702 <td>Global Partn. Drug Pol. 2019-2020</td> <td>60.502</td> <td>0</td>	Global Partn. Drug Pol. 2019-2020	60.502	0
Drug Policy Advocacy Group III         46.889         38.080           S2B network         40.702         133.677           No more ISDS         39.846         176.340           mPower         28.120         84.655           Community supported fisheries         15.515         0           Reimagining democracy         13.650         0           Handel Anders         13.352         0           Alternative Security         12.986         35.220           Investment Regime         9.635         35.911           Landstrat         7.574         13.696           ERPI         6.412         0           MENA Alt. Development         5.691         33.495           Drugs & Democracy         2.919         0           Geen VIP rechten         721         0           NAHRPP         0         110.028           AEPF         0         17.776           MENA Food Sovereignty         0         12.584           Caroline Fellowship         0         12.292           Funders for Fair Trade - TTIP Alarm         0         7.001           Security budget accountability         0         6.823           Lobbywatch         0         5.	Prom. Ethn. Rights Burma	55.434	34.730
S2B network       40.702       133.677         No more ISDS       39.846       176.340         mPower       28.120       84.655         Community supported fisheries       15.515       0         Reimagining democracy       13.650       0         Handel Anders       13.352       0         Alternative Security       12.986       35.220         Investment Regime       9.635       35.911         Landstrat       7.574       13.696         ERPI       6.412       0         MENA Alt. Development       5.691       33.495         Drugs & Democracy       2.919       0         Geen VIP rechten       721       0         NAHRPP       0       110.028         AEPF       0       17.776         MENA Food Sovereignty       0       12.584         Caroline Fellowship       0       7.001         Security budget accountability       0       6.823         Lobbywatch       0       5.702	Humanitarian migration policy	50.195	0
No more ISDS         39.846         176.340           mPower         28.120         84.655           Community supported fisheries         15.515         0           Reimagining democracy         13.650         0           Handel Anders         13.352         0           Alternative Security         12.986         35.220           Investment Regime         9.635         35.911           Landstrat         7.574         13.696           ERPI         6.412         0           MENA Alt. Development         5.691         33.495           Drugs & Democracy         2.919         0           Geen VIP rechten         721         0           NAHRPP         0         110.028           AEPF         0         17.776           MENA Food Sovereignty         0         12.584           Caroline Fellowship         0         7.001           Security budget accountability         0         6.823           Lobbywatch         0         5.702	Drug Policy Advocacy Group III	46.889	38.080
mPower         28.120         84.655           Community supported fisheries         15.515         0           Reimagining democracy         13.650         0           Handel Anders         13.352         0           Alternative Security         12.986         35.220           Investment Regime         9.635         35.911           Landstrat         7.574         13.696           ERPI         6.412         0           MENA Alt. Development         5.691         33.495           Drugs & Democracy         2.919         0           Geen VIP rechten         721         0           NAHRPP         0         110.028           AEPF         0         17.776           MENA Food Sovereignty         0         12.584           Caroline Fellowship         0         12.292           Funders for Fair Trade - TTIP Alarm         0         7.001           Security budget accountability         0         6.823           Lobbywatch         0         5.702	S2B network	40.702	133.677
Community supported fisheries         15.515         0           Reimagining democracy         13.650         0           Handel Anders         13.352         0           Alternative Security         12.986         35.220           Investment Regime         9.635         35.911           Landstrat         7.574         13.696           ERPI         6.412         0           MENA Alt. Development         5.691         33.495           Drugs & Democracy         2.919         0           Geen VIP rechten         721         0           NAHRPP         0         110.028           AEPF         0         17.776           MENA Food Sovereignty         0         12.292           Funders for Fair Trade - TTIP Alarm         0         7.001           Security budget accountability         0         6.823           Lobbywatch         0         5.702	No more ISDS	39.846	176.340
Reimagining democracy         13.650         0           Handel Anders         13.352         0           Alternative Security         12.986         35.220           Investment Regime         9.635         35.911           Landstrat         7.574         13.696           ERPI         6.412         0           MENA Alt. Development         5.691         33.495           Drugs & Democracy         2.919         0           Geen VIP rechten         721         0           NAHRPP         0         110.028           AEPF         0         17.776           MENA Food Sovereignty         0         12.584           Caroline Fellowship         0         12.292           Funders for Fair Trade - TTIP Alarm         0         7.001           Security budget accountability         0         6.823           Lobbywatch         0         5.702	mPower	28.120	84.655
Handel Anders       13.352       0         Alternative Security       12.986       35.220         Investment Regime       9.635       35.911         Landstrat       7.574       13.696         ERPI       6.412       0         MENA Alt. Development       5.691       33.495         Drugs & Democracy       2.919       0         Geen VIP rechten       721       0         NAHRPP       0       110.028         AEPF       0       17.776         MENA Food Sovereignty       0       12.584         Caroline Fellowship       0       12.292         Funders for Fair Trade - TTIP Alarm       0       7.001         Security budget accountability       0       6.823         Lobbywatch       0       5.702	Community supported fisheries	15.515	0
Alternative Security       12.986       35.220         Investment Regime       9.635       35.911         Landstrat       7.574       13.696         ERPI       6.412       0         MENA Alt. Development       5.691       33.495         Drugs & Democracy       2.919       0         Geen VIP rechten       721       0         NAHRPP       0       110.028         AEPF       0       17.776         MENA Food Sovereignty       0       12.584         Caroline Fellowship       0       12.292         Funders for Fair Trade - TTIP Alarm       0       7.001         Security budget accountability       0       6.823         Lobbywatch       0       5.702	Reimagining democracy	13.650	0
Investment Regime         9.635         35.911           Landstrat         7.574         13.696           ERPI         6.412         0           MENA Alt. Development         5.691         33.495           Drugs & Democracy         2.919         0           Geen VIP rechten         721         0           NAHRPP         0         110.028           AEPF         0         17.776           MENA Food Sovereignty         0         12.584           Caroline Fellowship         0         7.001           Security budget accountability         0         6.823           Lobbywatch         0         5.702	Handel Anders	13.352	0
Landstrat         7.574         13.696           ERPI         6.412         0           MENA Alt. Development         5.691         33.495           Drugs & Democracy         2.919         0           Geen VIP rechten         721         0           NAHRPP         0         110.028           AEPF         0         17.776           MENA Food Sovereignty         0         12.584           Caroline Fellowship         0         12.292           Funders for Fair Trade - TTIP Alarm         0         7.001           Security budget accountability         0         6.823           Lobbywatch         0         5.702	Alternative Security	12.986	35.220
ERPI         6.412         0           MENA Alt. Development         5.691         33.495           Drugs & Democracy         2.919         0           Geen VIP rechten         721         0           NAHRPP         0         110.028           AEPF         0         17.776           MENA Food Sovereignty         0         12.584           Caroline Fellowship         0         12.292           Funders for Fair Trade - TTIP Alarm         0         7.001           Security budget accountability         0         6.823           Lobbywatch         0         5.702	Investment Regime	9.635	35.911
MENA Alt. Development5.69133.495Drugs & Democracy2.9190Geen VIP rechten7210NAHRPP0110.028AEPF017.776MENA Food Sovereignty012.584Caroline Fellowship012.292Funders for Fair Trade - TTIP Alarm07.001Security budget accountability06.823Lobbywatch05.702	Landstrat	7.574	13.696
Drugs & Democracy2.9190Geen VIP rechten7210NAHRPP0110.028AEPF017.776MENA Food Sovereignty012.584Caroline Fellowship012.292Funders for Fair Trade - TTIP Alarm07.001Security budget accountability06.823Lobbywatch05.702	ERPI	6.412	0
Geen VIP rechten7210NAHRPP0110.028AEPF017.776MENA Food Sovereignty012.584Caroline Fellowship012.292Funders for Fair Trade - TTIP Alarm07.001Security budget accountability06.823Lobbywatch05.702	MENA Alt. Development	5.691	33.495
NAHRPP0110.028AEPF017.776MENA Food Sovereignty012.584Caroline Fellowship012.292Funders for Fair Trade - TTIP Alarm07.001Security budget accountability06.823Lobbywatch05.702	Drugs & Democracy	2.919	0
AEPF017.776MENA Food Sovereignty012.584Caroline Fellowship012.292Funders for Fair Trade - TTIP Alarm07.001Security budget accountability06.823Lobbywatch05.702	Geen VIP rechten	721	0
MENA Food Sovereignty012.584Caroline Fellowship012.292Funders for Fair Trade - TTIP Alarm07.001Security budget accountability06.823Lobbywatch05.702	NAHRPP	0	110.028
Caroline Fellowship012.292Funders for Fair Trade - TTIP Alarm07.001Security budget accountability06.823Lobbywatch05.702	AEPF	0	17.776
Funders for Fair Trade - TTIP Alarm07.001Security budget accountability06.823Lobbywatch05.702	MENA Food Sovereignty	0	12.584
Security budget accountability06.823Lobbywatch05.702	Caroline Fellowship	0	12.292
Lobbywatch 0 5.702	Funders for Fair Trade - TTIP Alarm	0	7.001
·	Security budget accountability	0	6.823
Total grants (received in advance)1.476.3071.844.500	Lobbywatch	0	5.702
	Total grants (received in advance)	1.476.307	1.844.500

	31-12-2019	31-12-2018	
	€	€	
- Other short-term liabilities			
Payables expenses Funds earmarked for projects	67.976 0	76.269 9.111	
Total other short-term liabilities	67.976	85.380	

#### RIGHTS AND OBLIGATIONS NOT INCLUDED IN THE BALANCE SHEET

#### Contingent liabilities

In the balance sheet, liabilities include those to partners. These liabilities derive from contracts with partners under grants of the European Union. These liabilities are calculated for one year although the contracts in some cases have a longer duration. A committee makes the decision annually for the exact amount per partner.

#### This involves the following contract:

EU - "Combating the Structural Drivers of Anti-Muslim Hatred and Intolerance: Tools and Practices for Mobilising" (CoSDAMHI). TNI is the coordinator of this project, but there is no grant agreement (direct obligation) between TNI and the partners. TNI therefore doesn't disclose the full amount available for partners (€ 441.703) as a project expenditure. Instead the actual partner expenditures will be included in the statement of income and expenditures over the years 2018, 2019 and 2020. The EU finances all participating project partners through TNI. The prefinancing that TNI has sent to the project partners are included on the balance sheet ("*Prefinancing partners pending deliverables*" on page 6).

#### Post balance sheet events

Due to the outbreak of the corona virus worldwide, including the Netherlands, drastic measures have been taken by various governments to get the virus under control. The corona crisis and the measures are expected to have financial consequences for the foundation. The exact financial consequences are currently unclear, but due to the nature of the activities, the amount available in the continuity reserve and in cash or cash equivalents, no continuity risk is foreseen.

There have been no other significant events post balance date which would materially affect the annual accounts.

## F. Notes to the statement of income and expenditure

		Realisation 2019	Budget 2019	Realisation 2018
INCOME		€	€	€
<u>Grants</u>				
Dutch government	51%	2.196.030	2.340.958	2.306.973
Other governments	20%	868.608	919.255	1.186.913
European Union	8%	323.964	229.512	246.842
Other philanthropic funds	21%	921.692	1.141.044	861.994
Total grants	100%	4.310.294	4.630.769	4.602.722
Income from rent		128.939 *	140.000	137.353

\* Income from rent is lower due to tenants leaving in 2019. The empty spaces have been filled starting 2020.

#### EXPENDITURE

#### Personnel costs

Salaries	1.176.836 *	1.106.711	1.163.197
Social security	200.900	196.809	185.503
Pension premiums	83.102	84.150	87.959
Arbo/sickness insurance	17.565	14.658	17.789
Other personnel costs	40.315	44.195	34.976
Subtotal personnel costs	1.518.718	1.446.523	1.489.424
Minus: personnel costs research and activities	-1.070.811	-1.014.401	-808.923
Total personnel costs administration and			
fundraising	447.907	432.122	680.501
FTE (average over the year)	25,26	23,93	25,40
Number of employees per December 31st	25,29	23,93	24,79

\* Salaries have increased mainly due to additional hiring for specific project activities and given a contract relating to the project period. These salary expenses are therefore charged directly to these project.

	Realisation 2019	Budget 2019	Realisation 2018
	€	€	€
Building expenses			
Depreciation Interest mortgage Provision building maintenance Maintenance, cleaning and purchase Energy and water Insurance, lease and taxes Contribution tenants/service charges	48.353 41.202 25.281 28.898 * 22.533 29.092 -33.423	47.370 45.000 35.000 53.500 22.000 27.000 -40.000	47.353 45.403 35.000 28.585 19.699 26.691 -36.956
Total building expenses	161.936	189.870	165.775

\* Transnational Institute has concentrated its efforts on major maintenance and investment in building sustainability included in the provision due to emerging opportunities and unexpected necessities.

#### Office and communication

Office supplies & communications Office materials	73.148 * 5.527	59.613 12.000	56.761 8.396
Other organisational costs	25.728	10.500	19.116
External assistance	177.871 *	152.000	200.132
Auditor	44.902	25.000	51.994
Depreciation inventory	8.822	12.147	10.049
Provision uncollectable accounts	0	0	6.083
Fundraising	2.696	13.000	3.108
Bank charges	11.311	8.500	12.933
Total office and communication	350.005	292.760	368.572

\* The increase in office supplies & communications and external assistance is caused by hiring support staff to digitalise the archives.

#### Research and activities

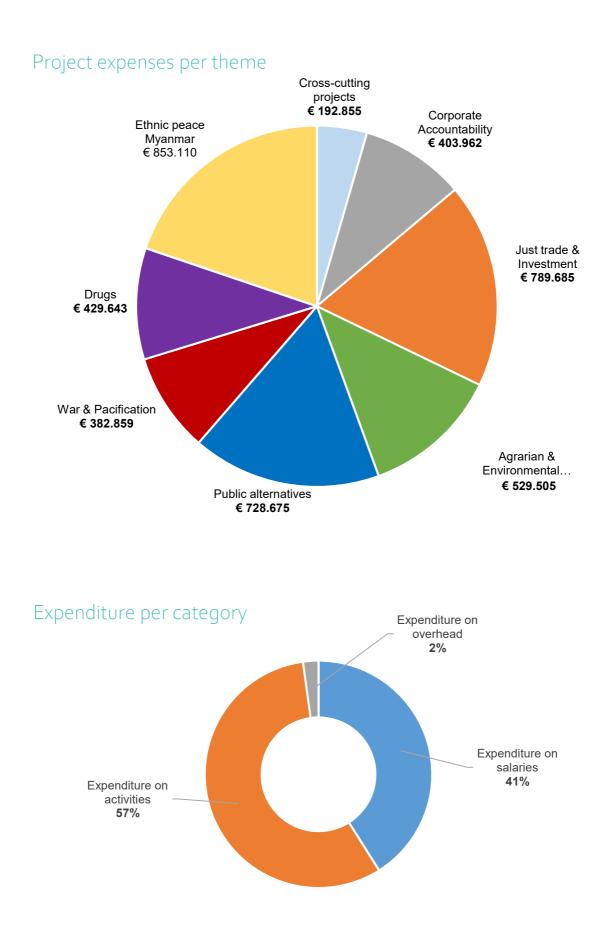
Personnel costs	1.070.811	1.014.401	808.923
Activity costs	1.014.234	1.152.111	1.180.993
Travel and accommodation	640.104	1.095.910	937.290
Publication, documentation and			
communication	472.846	337.203	360.360
Miscellaneous project costs	49.877	84.301	29.467
Research partner fees	383.122	140.501	269.219
Contributions by third parties	-130.506	0	0
Total research and activities	3.500.488	3.824.427	3.586.252

## G. Summary of the projects 2019

		Liabilities 31-12-2018	Receivables 31-12-2018	New grants 2019	Expenditure on salaries 2019	Expenditure on activities 2019	Expenditure on overhead 2019	Total expenditure 2019	Income 2019	Project result 2019	Reva- luations	Liabilities 31-12-2019	Received 2019	Receivables 31-12-2019	Balance liabilities and receivables
		€	€	€	€	€	€	€	€	€	€	€	€	€	€
		А	В	С	D	Е	F	G	н	1	J	К	L	М	Ν
										= G - H		= A + C - H + J	J	= B + C - L + J	= K - M
Dutch Ministry of Foreign Affairs	Fair, Green & Global	4.319.689	3.882.996	0	1.191.460	1.004.570	0	2.196.030	2.196.030	0	0	2.123.659	2.117.541	1.765.455	358.204
Swedish Int. Developm. Agency	Ethn. conflict Burma	1.375.544	1.154.698	0	62.662	456.504	44.648	563.814	563.814	0	-33.280	778.450	569.084	552.334	226.116
Swiss Developm. Cooperation	Prom. Ethn. Rights Burma	744.605	709.875	0	34.134	232.253	22.909	289.296	289.296	0	0	455.309	310.000	399.875	55.434
Deutsche Gesell. für Int. Zusammenarb.	Global Partn. Drug Pol. 2017-2018	0	6.889	0	0	0	0	0	0	0	0	0	6.889	0	0
Deutsche Gesell. für Int. Zusammenarb.	Global Partn. Drug Pol. 2019-2020	0	0	99.999	8.111	4.487	1.134	13.732	13.732	0	0	86.267	74.234	25.765	60.502
Deutsche Gesell. für Int. Zusammenarb.	GPDPD Exchange 2017-2018	0	14.000	0	0	0		0	0	0	0	0	10.490	3.510	-3.510
Deutsche Gesell. für Int. Zusammenarb.	GPDPD Exchange 2019-2020	0	0	38.040	516	573	98	1.187	1.187	0	0	36.853	0	38.040	-1.187
Deutsche Gesell. für Int. Zusammenarb.	10th Dialogue	579	4.000	0	0	579	0	579	579	0	0	0	555	3.445	-3.445
European Union	NAHRPP	184.773	74,745	-49.167	14.055	115.155	6.396	135.606	135.606	0	0	0	0	25.578	-25.578
European Union	mPower	216,792	132,137	0	42.225	3.003	11.307	56,535	56.535	0	0	160.257	0	132,137	28,120
European Union	CoSDAMHI	534.834	105.883	0	27.048	17.829	3.141	48.018	48.018	0	0	486.816	0	105.883	380.933
European Union (through 11.11.11.)	AEPF	71.773	53.997	40.000	24,109	54,452	5.244	83,805	83.805	0	0	27.968	46,492	47,505	-19.537
Found. to Promote Open Society	MENA Food Sovereignty	12.584	0	0	9,908	2.676	0	12.584	12.584	0	0	0	0	0	0
Found. to Promote Open Society	MENA Alt. Development	33.495	0	0	4.574	23.230	0	27.804	27.804	0	0	5.691	0	0	5.691
Found. to Promote Open Society	Humanitarian migration policy	0	0	88.747	22,413	15.917	0	38.330	38.330	0	0		88,525	222	50,195
Found, Open Soc, Inst, New York	Myanmar/Lebanon SKOUN	16.778	23.374	0	0	16.831	0	16.831	16.831	0	0	0	23.374	0	0
Found, Open Soc. Inst.	Security budget accountability	6.823	0	0	0	6.823	0	6.823	6.823	0	0	0	0	0	0
Found, Open Soc, Inst./SOMO	Lobbywatch	10.840	5.138	0	4.236	6.604	0	10.840	10.840	0	0	0	0	5,138	-5.138
Found. Open Soc. Policy center	Drugs & Democracy	211.448	248,966	0	125.699	82.840	0	208.539	208.539	0	10		248.976	0	2.919
Found. Open Soc. Policy center	Drug Policy Advocacy Group III	89.091	51.011	0	619	42.550	0	43.169	43.169	0	967	46.889	51.978	0	46.889
Found. Open Soc. Policy center	Alternative Security	68.169	32.949	0	25.300	23.434	0	48.734	48.734	0	0		26.500	6.449	12.986
Both Ends (for FGG joint work)	Geen VIP rechten	0	0	20.000	12.510	6.769	0	19.279	19.279	0	0	721	20.000	0	721
Funders for Fair Trade	S2B network	133.677	0	74.000	65.440	27.535	0	92.975	92.975	0	0	114.702	0	74.000	40.702
Funders for Fair Trade	TTIP Alarm	7.001	0	0	0	7.001	0	7.001	7.001	0	0 0		0	0	0
Funders for Fair Trade	Handel Anders	0	0	30.000	0	16.648	0	16.648	16.648	0	0		30.000	0	13.352
Funders for Fair Trade, Shöpflin and		-	-		-		-			-	-			-	
Rockefeller Foundation	No more ISDS	226.340	50.000	0	35.200	151.294	0	186.494	186.494	0	0	39.846	50.000	0	39.846
Rockefeller Foundation	Investment Regime	35.911	0	0	4.198	22.078	0	26.276	26.276	0	0 0		0	0 0	9.635
Rockefeller Foundation	ISDS	001011	0	267.404	24.067	77.232	0	101.299	101.299	0	453	166.558	202.210	65.647	100.911
ISDS campaign	Rights for People	0	0	2011101	0	-16.169	0	-16.169		16.169	0	0	0	0	0
Erasmus+/Terre de Liens	Landstrat	57.507	43.811	0	14.571	5.438	0	20.009	20.009	0	0	-	13.887	29.924	7.574
Erasmus+	Community supported fisheries	0	0	40.125	0	535	0	535	535	0	0		16.050	24.075	15.515
European Cultural Foundation	Reimagining democracy	0	0	19.500	0	0	0	0	0	0	0 0		13.650	5.850	13.650
Irish Research Council	Caroline Fellowship	12.292	0	0	0	13.452	0	13.452	13.452	0	1.160		0	1.160	-1.160
Afrika Kontakt	Evaluation Consultancy	5.951	5.951	-5.951	0	0	0	0	0.402	ő	0	Ő	Ő	0	0
Global commission	Global commission	0.001	0.001	10.000	11.220	-1.220	0	10.000	10.000	0	0	0	10.000	0	0
PLAAS, Univ. of Western Cape	ERPI	19.609	20.020	0.000	5.296	8.774	0	14.070	14.070	ő	873	6.412	20.893	0	6.412
Queens university	Municipal Services	6.403	6.403	-6.403	0.200	0.174	0	0	0	0	0,0	0.412	20.000	ů 0	0.412
Total	,	8.402.508	6.626.843	666.294	1.769.571	2.429.677	94.877	4.294.125	4.310.294	16.169	-29.817	4.728.744	3.951.328	3.311.992	1.416.752

## H. Summary of the projects per theme 2019

		Expenditure on salaries 2019	Expenditure on activities 2019	Expenditure on overhead 2019	Total expenditure 2019
		€	€	€	€
Cross-cutting projects Dutch Ministry of Foreign Affairs 11.11.11.	Fair, Green & Global AEPF	89.305 24.109	19.745 54.452	0 5.244	109.050 83.805
Total Cross-cutting projects		113.414	74.197	5.244	192.855
Corporate Accountability					
Dutch Ministry of Foreign Affairs Found. Open Soc. Inst./SOMO	FGG: Corporate Regulation Lobbywatch	78.788 4.236	314.334 6.604	0 0	393.122 10.840
Total Corporate Accountability		83.024	320.938	0	403.962
Just trade & Investment					
Dutch Ministry of Foreign Affairs	FGG: Trade & Investment Treaties	169.814	169.899	0	339.713
Funders for Fair Trade	S2B network	65.440	27.535	0	92.975
Funders for Fair Trade	TTIP Alarm	0	7.001	0	7.001
Funders for Fair Trade	Handel Anders	0	16.648	0	16.648
Both Ends (for FGG joint work)	Geen VIP rechten	12.510	6.769	0	19.279
Funders for Fair Trade, Shöpflin and Rockefeller Foundation	No more ISDS	35,200	151,294	0	0 186.494
Rockefeller Foundation	Investment Regime	4.198	22.078	0	26.276
Rockefeller Foundation	ISDS	24.067	77.232	0	101.299
Total Just trade & Investment		311.229	478.456	0	789.685
Agrarian & Environmental justice					
Dutch Ministry of Foreign Affairs	FGG: Climate & agriculture policies	263.756	190.747	0	454.503
Found. to Promote Open Society	MENA Food Sovereignty	9.908	2.676	Ő	12.584
Found. to Promote Open Society	MENA Alt. Development	4.574	23.230	0	27.804
Erasmus+	Landstrat	14.571	5.438	0	20.009
Erasmus+	Community supported fisheries	0	535	0	535
PLAAS, Univ. Of Western Cape	Emancipatory Rural Politics Initiative	5.296	8.774	0	14.070
Total Agrarian & Environmental justice		298.105	231.400	0	529.505
Public alternatives		4 4 9 9	4 000	•	0.400
Dutch Ministry of Foreign Affairs	FGG: Financial Regulations	1.160	1.020 258.745	0	2.180
Dutch Ministry of Foreign Affairs Dutch Ministry of Foreign Affairs	FGG: Tax Justice	397.763 0	256.745	0	656.508 0
Irish Research Counsil	FGG: Public funds, private Sector Caroline Fellowship	0	13.452	0	13.452
European Union - H2020	mPower	42.225	3.003	11.307	56.535
Total Public alternatives		441.148	276.220	11.307	728.675
War & Pacification					
Dutch Ministry of Foreign Affairs	Corporations & Human Rights Defenders	190.874	50.080	0	240.954
Found. Open Soc. Inst. for Europe	Security budget accountability	190.074	6.823	0	6.823
Found. Open Soc. Policy center	Alternative Security	25.300	23.434	0	48.734
Found. Open Soc. Policy center	Humanitarian migration policy	22.413	15.917	0	38.330
European union - General justice and	Combating Structural Drivers of Anti-Musli				
Consumer	Hatred and Intolerance (CoSDAMHI)	27.048	17.829	3.141	48.018
Total War & Pacification		265.635	114.083	3.141	382.859
Drugs					
Found. Open Soc. Policy center	Drugs & Democracy	125.699	82.840	0	208.539
Found. Open Soc. Policy center	Drug Policy Advocay III	619	42.550	0	43.169
Deutsche Gesell. für Int. Zusammenarb.	Global Partn. Drug Pol.	8.111	4.487	1.134	13.732
Deutsche Gesell. für Int. Zusammenarb.	GPDPD Exchange	516	573	98	1.187
Deutsche Gesell. für Int. Zusammenarb.	10th Dialogue	0	579	0	579
European Union - Migration Global commission	NAHRPP Clabal commission	14.055	115.155	6.396	135.606
Found. Open Soc. Inst. New York	Global commission Myanmar SKOUN	11.220 0	-1.220 16.831	0 0	10.000 16.831
Total Drugs		160.220	261.795	7.628	429.643
Ethnic peace Myanmar					
Swedish Int. Developm. Agency	Ethn. conflict Burma	62.662	456.504	44.648	563.814
Swiss Developm. Cooperation	Prom. Ethn. Rights Burma	34.134	232.253	22.909	289.296
Total Ethnic peace Myanmar		96.796	688.757	67.557	853.110
Total		1.769.571	2.445.846	94.877	4.310.294



## Other information

#### Independent auditor's report

The independent auditor's report is included at the next page of the annual accounts.

# dubois + co REGISTERACCOUNTANTS

#### INDEPENDENT AUDITOR'S REPORT

To: the Supervisory Board of Stichting Transnational Institute based in Amsterdam, the Netherlands.

## A. Report on the audit of the financial statements 2019 included in the annual report

#### **Our** opinion

We have audited the financial statements 2019 of Stichting Transnational Institute based in Amsterdam, the Netherlands.

In our opinion the accompanying financial statements give a true and fair view of the financial position of Stichting Transnational Institute as at 31 December 2019 and of its result for 2019 in accordance with the Guidelines for annual reporting C1 Small not-for-profit organisations of the Dutch Accounting Standards Board.

The financial statements comprise:

- 1. the balance sheet as at 31 December 2019;
- 2. the statement of income and expenditure for 2019; and
- 3. the notes comprising a summary of the accounting policies and other explanatory information.

#### Basis for our opinion

We conducted our audit in accordance with Dutch law, including the Dutch Standards on Auditing. Our responsibilities under those standards are further described in the 'Our responsibilities for the audit of the financial statements' section of our report.

We are independent of Stichting Transnational Institute in accordance with the Verordening inzake de onafhankelijkheid van accountants bij assuranceopdrachten (ViO, Code of Ethics for Professional Accountants, a regulation with respect to independence) and other relevant independence regulations in the Netherlands. Furthermore we have complied with the Verordening gedrags- en beroepsregels accountants (VGBA, Dutch Code of Ethics).

We believe the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.

#### B. Report on the other information included in the annual report

In addition to the financial statements and our auditor's report thereon, the annual report contains other information that consists of the supervisory board's report.

Oranje Nassaulaan 1 1075 AH Amsterdam Postbus 53028 1007 RA Amsterdam

Telefoon 020 571 23 45 E-mail info@dubois.nl www.dubois.nl KvK nummer 34374865

Dubois & Co. Registeraccountants is een maatschap van praktijkvennootschappen. Op alle opdrachten die aan ons kantoor worden verstrekt zijn onze algemene voorwaarden van toepassing. Deze voorwaarden, waarvan de tekst is opgenomen op de website www.dubois.nl, bevatten een aansprakelijkheidsbeperking.

# dubois + co

Based on the following procedures performed, we conclude that the other information is consistent with the financial statements and does not contain material misstatements.

We have read the other information. Based on our knowledge and understanding obtained through our audit of the financial statements or otherwise, we have considered whether the other information contains material misstatements.

By performing these procedures, we comply with the requirements of the Dutch Standard 720. The scope of the procedures performed is substantially less than the scope of those performed in our audit of the financial statements. Management is responsible for the preparation of the other information, including the supervisory board's report, in accordance with the Guidelines for annual reporting C1 Small Not-for-profit organisations of the Dutch Accounting Standards Board.

#### C. Description of responsibilities regarding the financial statements

#### Responsibilities of management for the financial statements

Management is responsible for the preparation and fair presentation of the financial statements, in accordance with the Guidelines for annual reporting C1 Small not-for-profit organisations of the Dutch Accounting Standards Board. Furthermore, management is responsible for such internal control as management determines is necessary to enable the preparation of the financial statements that are free from material misstatement, whether due to fraud or error.

As part of the preparation of the financial statements, management is responsible for assessing the foundation's ability to continue as a going concern. Based on the financial reporting framework mentioned, management should prepare the financial statements using the going concern basis of accounting unless management either intends to dissolve the foundation or to cease operations, or has no realistic alternative but to do so.

Management should disclose events and circumstances that may cast significant doubt on the foundation's ability to continue as a going concern in the financial statements.

#### Our responsibilities for the audit of the financial statements

Our objective is to plan and perform the audit assignment in a manner that allows us to obtain sufficient and appropriate audit evidence for our opinion.

Our audit has been performed with a high, but not absolute, level of assurance, which means we may not detect all material errors and fraud during our audit.

Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements. The materiality affects the nature, timing and extent of our audit procedures and the evaluation of the effect of identified misstatements on our opinion.

We have exercised professional judgement and have maintained professional scepticism throughout the audit, in accordance with Dutch Standards on Auditing, ethical requirements and independence requirements.

# dubois + co REGISTERACCOUNTANTS

Our audit included e.g.:

- identifying and assessing the risks of material misstatement of the financial statements, whether due to
  fraud or error, designing and performing audit procedures responsive to those risks, and obtaining audit
  evidence that is sufficient and appropriate to provide a basis for our opinion. The risk of not detecting a
  material misstatement resulting from fraud is higher than for one resulting from error, as fraud may
  involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control;
- obtaining an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the foundation's internal control;
- evaluating the appropriateness of accounting policies used and the reasonableness of accounting estimates and related disclosures made by management;
- concluding on the appropriateness of management's use of the going concern basis of accounting, and based on the audit evidence obtained, whether a material uncertainty exists related to events or conditions that may cast significant doubt on the foundation's ability to continue as a going concern. If we conclude that a material uncertainty exists, we are required to draw attention in our auditor's report to the related disclosures in the financial statements or, if such disclosures are inadequate, to modify our opinion. Our conclusions are based on the audit evidence obtained up to the date of our auditor's report. However, future events or conditions may cause a foundation to cease to continue as a going concern;
- evaluating the overall presentation, structure and content of the financial statements, including the disclosures; and
- evaluating whether the financial statements represent the underlying transactions and events in a manner that achieves fair presentation.

We communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit and significant audit findings, including any significant findings in internal control that we identify during our audit.

Amsterdam, 19 October 2020

Dubois & Co. Registeraccountants

3

J.J.M. Huijbregts RA